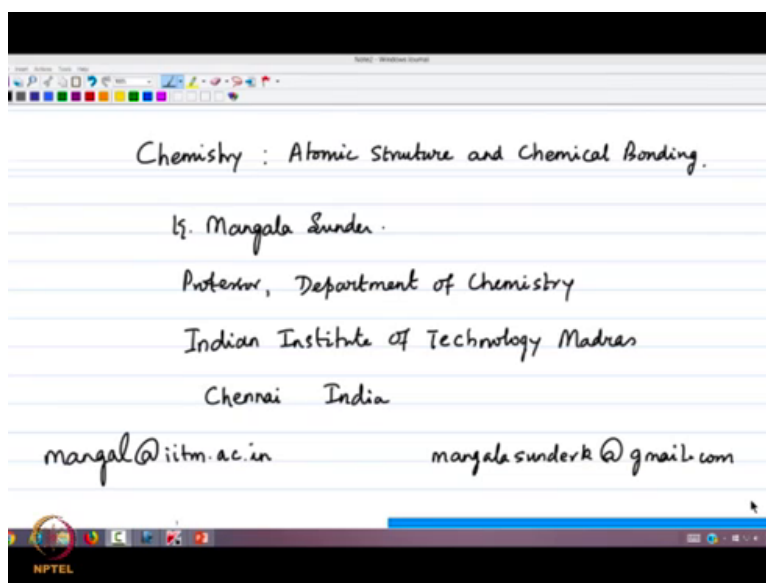


**Chemistry Atomic Structure And Chemical Bonding**  
**Prof. K. Mangala Sunder**  
**Department of Chemistry**  
**Indian Institute of Technology, Madras**

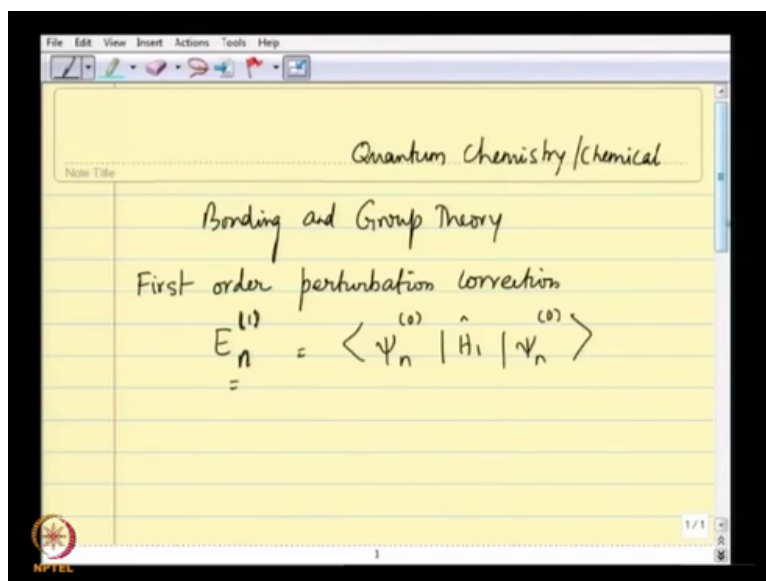
**Lecture – 48**  
**First and Second Order Time Independent Perturbation Theory for**  
**Non - Degenerate States**

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Welcome back to the lectures in Chemistry and or the topic of Atomic Structure and Chemical Bonding. My name is Mangala Sunder and I am in the Department of Chemistry, Indian Institute of Technology, Madras.

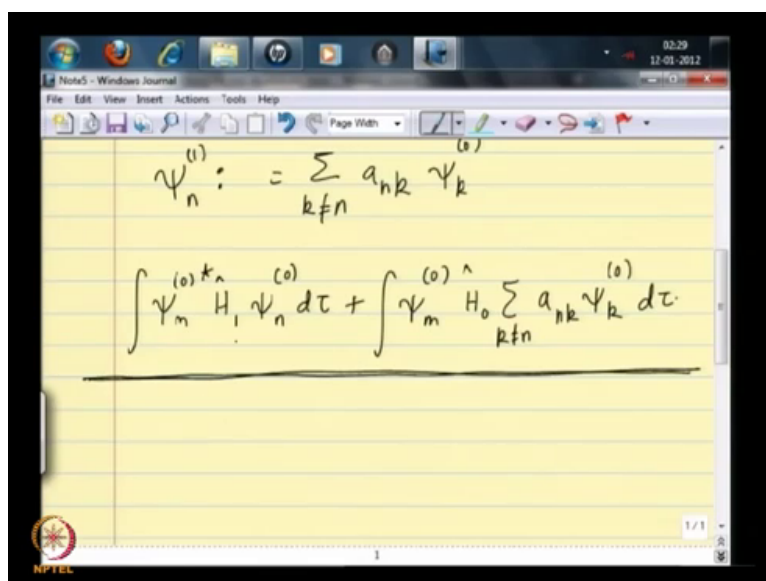
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So, we looked at the First Order perturbation correction as the first order. So, let me write  $E_n^{(1)}$  correction to the  $n$ th energy in the absence of perturbation to order  $\lambda$ , as the average value of the perturbing Hamiltonian in the eigenstates of the  $n$ th energy state namely  $\psi_n^{(0)}$   $\hat{H}_1$   $\psi_n^{(0)}$  ok.

And then, we moved on to calculate the first order correction to the wave function namely  $\psi_n^{(1)}$ .

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And let me now bring the last screen that you had in the last lecture. So, that we continue from that screen onwards ok.

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The image shows a handwritten derivation on a yellow notepad background. At the top, there is a green horizontal line with the label  $k \neq n$  above it. Below this, the following equations are written:

$$\hat{H}_1 \psi_n^{(0)} + \hat{H}_0 \psi_n^{(1)} = \sum_n^{(1)} \psi_n^{(0)} + E_n^{(0)} \psi_n^{(1)}$$

An arrow points from the  $\psi_n^{(1)}$  term in the first equation to the  $\psi_n^{(0)}$  term in the second equation. The second equation is:

$$\hat{H}_1 \psi_n^{(0)} + \hat{H}_0 \sum_{k \neq n} a_{nk} \psi_k^{(0)} = E_n^{(1)} \psi_n^{(0)} + E_n^{(0)} \sum_{k \neq n} a_{nk} \psi_k^{(0)}$$

Below this, there is an integral expression:

$$\int \psi_m^{(0)*} \dots d\tau$$

At the bottom, a large bracketed expression is shown:

$$\int \psi_m^{(0)*} \hat{H}_1 \psi_n^{(0)} d\tau + \sum a_{nk} \int \psi_m^{(0)*} \hat{H}_0 \psi_k^{(0)} d\tau$$

Now, if you recall this particular screen to obtain the first order correction to the wave function which is marked in green here by  $\psi_n^{(1)}$  ok. What we did was to propose an expansion for the wave function  $\psi_n^{(1)}$ , in terms of all the basis states of the Perturbation Free Hamiltonian, the eigenstates of the Perturbation Free Hamiltonian.

But then, we made a case by saying that except the eigenstate corresponding to that  $n$ th order,  $n$ th 0 order Hamiltonian that is  $\psi_n^{(0)}$ , we will include all other terms.

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$$\psi_n^{(1)} = \sum_{k \neq n} a_{nk} \psi_k^{(0)} + a_{n, n+1} \psi_{n+1}^{(0)} + \dots$$

$$\hat{H}_1 \psi_n^{(0)} + \hat{H}_0 \psi_n^{(1)} = E_n^{(1)} \psi_n^{(0)} + E_n^{(0)} \psi_n^{(1)}$$

$$\hat{H}_1 \psi_n^{(0)} + \hat{H}_0 \sum_{k \neq n} a_{nk} \psi_k^{(0)} = E_n^{(1)} \psi_n^{(0)} + E_n^{(0)} \sum_{k \neq n} a_{nk} \psi_k^{(0)}$$

So, if you recall at the series for the first order correction to the wave function that you see here on this one,  $\psi_n^{(1)}$  was written in terms of a linear combination of all wave functions which are eigenfunctions of the perturbation free Hamiltonian with linear combination coefficients  $a$  and  $k$ ; where,  $k$  is such that it takes all the values other than the values corresponding to the  $n$ th eigenfunction  $ok$ .

This was substituted in the equation for the  $\psi_n^{(1)}$  and then, what we did was to multiply that equation by the  $\psi_n^{(0)}$  and integrated both the left hand side and right hand side over all the coordinates to obtain this final equation.

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$$\int \psi_m^* H_1 \psi_n d\tau + \sum_{k \neq n} a_{nk} \int \psi_m^* H_0 \psi_k d\tau$$

$$= E_n \int \psi_m^* \psi_n d\tau + \sum_{k \neq n} a_{nk} E_n \int \psi_m^* \psi_k d\tau$$

~~$\int \psi_m^* \psi_n d\tau = 0$~~

This is the one that I left you with in the last lecture, namely  $\int \psi_m^* H_1 \psi_n d\tau + \sum_{k \neq n} a_{nk} \int \psi_m^* H_0 \psi_k d\tau$  plus the integral  $\int \psi_m^* H_0 \psi_n d\tau$  and we had the summation over  $k \neq n$   $a_{nk} \int \psi_m^* H_0 \psi_k d\tau$  ok. This was the left hand side. Just let us check that that is what we have.  $\int \psi_m^* H_1 \psi_m d\tau + \sum_{k \neq n} a_{nk} \int \psi_m^* H_0 \psi_k d\tau$ ; yes, that is what we have. That is on the left hand side.

Then, we have this equation namely the integral  $\int \psi_m^* H_1 \psi_n d\tau$  plus the integral  $\int \psi_m^* H_0 \psi_n d\tau$  and we had the summation over  $k \neq n$   $a_{nk} \int \psi_m^* H_0 \psi_k d\tau$  ok. This was the left hand side. Just let us check that that is what we have.  $\int \psi_m^* H_1 \psi_m d\tau + \sum_{k \neq n} a_{nk} \int \psi_m^* H_0 \psi_k d\tau$ ; yes, that is what we have. That is on the left hand side.

Now, it is easy to look at this before we simplify this on the right hand side also. Let us do this right away here ok. We do know something about this integral, the second term ok. Let me go to the full screen and.

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$$\int \psi_m^{(0)*} H_1 \psi_n^{(0)} d\tau + \int \psi_m^{(0)*} H_0 \sum_{k \neq n} a_{nk} \psi_k^{(0)} d\tau$$


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$$\int \psi_m^{(0)*} \sum_{k \neq n} a_{nk} E_k^{(0)} \psi_k^{(0)} d\tau$$

We do know this particular term namely this one, see that the operator  $H$  naught acts on  $\psi_k^{(0)}$  on the right hand side or  $\psi_m^{(0)}$  on the left hand side. It does not matter which we worry about, which one that we have to worry about. But this would give you the quantity  $\psi_m^{(0)*} \sum_{k \neq n} a_{nk} H$  naught acting on  $\psi_k^{(0)}$  will give you  $E_k^{(0)} \psi_k^{(0)}$  d tau ok.

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$$\int \psi_m^{(0)*} \sum_{k \neq n} a_{nk} E_k^{(0)} \psi_k^{(0)} d\tau$$

$$\Rightarrow \cancel{a_{nk} E_k^{(0)} \int \psi_m^{(0)*} \psi_k^{(0)} d\tau}$$

$$= a_{nm} E_m^{(0)} \quad \text{k is replaced by m}$$

Which if I put in only those which are needed inside the integral and leave everything else out it is  $\int \psi_m^{(0)*} \sum_{k \neq n} a_{nk} E_k^{(0)} \psi_k^{(0)} d\tau$ . Please

note that when  $m$  was chosen in getting the wave function  $\psi_n^{(1)}$ ,  $m$  was chosen to be not equal to  $n$  ok. If you again go back to yesterday's lecture the previous lecture, the  $m$  was chosen such that this  $m$  is not equal to this  $n$ . Why? Because when we choose this  $m$  equal to  $n$ , we ended up with the first order correction to the energy already.

Therefore, now we are looking at using the projections of this equation on all wave functions other than the wave function corresponding to this  $m$ . Therefore, if you go back to this  $m$  is not equal to  $n$ ; therefore, it is possible that  $k$  and  $m$  can be equal because  $k$  is also not equal to  $n$ . Therefore, there is at least 1 value of  $m$  and there is only 1 value of  $m$  for which  $m$  and  $k$  are equal. Therefore, this summation will go away and this integral will turn out to be  $\delta_{mk}$  and since here we are summing over  $k$  and this  $\delta_{mk}$  restricts the value of  $k$  to  $m$ .

What you will get is  $a_{nm} E_m^{(0)}$ ; where,  $k$  is replaced by  $m$ . Only 1 term; all other terms in this integral will be 0 because the wave functions are orthogonal. So, the left hand side is simplified to now 2 sets, namely a term  $\psi_m^{(0)*} H_1 \psi_n^{(0)}$  and an energy contribution  $a_{nm} E_m^{(0)}$ . This particular term allowed ok. So, the left hand side, therefore, becomes the following.

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$$\left( = a_{nm} E_m^{(0)} \right) \quad \delta_{mk} \quad k \text{ is replaced by } m$$

$$\text{LHS: } \int \psi_m^{(0)*} H_1 \psi_n^{(0)} d\tau + a_{nm} E_m^{(0)}$$

$$\text{RHS} = a_{nm} E_n^{(0)}$$

$$a_{nm} (E_n^{(0)} - E_m^{(0)}) = \langle \psi_m^{(0)} | H_1 | \psi_n^{(0)} \rangle$$

Integral  $\psi_m^{(0)*} H_1 \psi_n^{(0)} d\tau$  plus  $a_{nm} E_m^{(0)}$ . What about the right hand side?

Now, again if we look at to the right hand side of the result that we had written down, there is again only one term which is a  $n k$   $k$  not equal to  $n$ ,  $E_n$   $\psi_m$   $0$  star  $\psi_k$   $0$  star.

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$$E_n^{(1)} = \int \psi_n^{(0)*} V^{(1)} \psi_n^{(0)} dt = E_n^{(0)} + \sum_{k \neq n} a_{nk} E_n^{(0)} \int \psi_m^{(0)*} \psi_k^{(0)} dt$$

$\downarrow$   
 $\delta_{mk}$   
 $a_{nm} E_n^{(0)}$

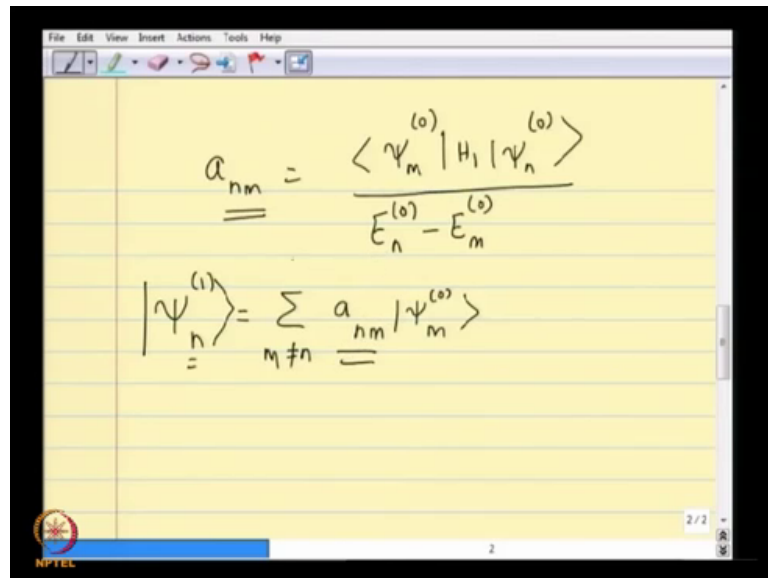
Therefore, right away you can see this reducing to because of this integral being delta  $m k$  and therefore, this summation in which  $k$  takes all possible values other than  $n$ . This summation is reduced to only 1 term, namely  $k$  is equal to  $m$ . So, the answer would be a  $n m$   $E_n$   $0$  and only for that this integral is 1; otherwise  $d$   $0$  and there is no other term.

So, what you have on the right hand side is a  $n m$   $E_n$   $0$  which we will now put in our equation here; namely, right hand side is a  $n m$   $E_n$   $0$  ok. So, out of all the coefficients, this way of projecting the operator or projecting the functions to the particular wave functions or the basis functions of the Hamiltonian gives you 1 coefficient, but we can now vary  $m$ . We can take the first wave function, the second wave function third wave function and therefore, we can get all the coefficients  $a$  and  $m$  1 step at your time.

Now, the summary is that the coefficient  $a_{nm}$  times if I bring this to the right hand side, the equation is that  $a_{nm} E_n^{(0)} - E_m^{(0)}$  is equal to the integral  $\psi_m^{(0)*} H^{(1)} \psi_n^{(0)}$ . So, we have obtained the value for the coefficient.



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The image shows a digital notepad with a yellow background and a black border. At the top, there is a menu bar with 'File', 'Edit', 'View', 'Insert', 'Actions', 'Tools', and 'Help'. Below the menu bar is a toolbar with various drawing tools. The main area of the notepad contains two equations written in black ink. The first equation is  $a_{nm} = \frac{\langle \psi_m^{(0)} | H_1 | \psi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}}$ . The second equation is  $|\psi_n^{(1)}\rangle = \sum_{m \neq n} a_{nm} |\psi_m^{(0)}\rangle$ . In the bottom left corner, there is a small circular logo with the text 'NPTEL' below it. In the bottom right corner, there is a small number '2' and a small icon.

And of course, the next step is to write explicitly  $a_{nm}$  is equal to the integral  $\psi_m^0 H_1 \psi_n^0$  divided by  $E_n^0 - E_m^0$  ok.

So, we have a coefficient and therefore, immediately the wave function  $\psi_n^1$ ; please remember that the expression we used was  $k \neq n$   $a_{nk}$  we used the ket notation. We can start using now from this point onwards  $\psi_k^0$ ; but we have the coefficient  $a_{nm}$ , I mean the indices  $n$  and  $m$ ;  $n$  is of course, very specific the running index is  $k$ . So, the running index here is  $m$ .

So, it does not matter. I would rather write instead of  $k$ , suppose I write  $m$  here  $m \neq n$ ; all I need to do is to make the corresponding changes here. The  $k$  is now in terms of  $m$  and this is also in terms of  $m$ . So, now we have an explicit form for this coefficient just in the previous line.

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$$a_{nm} = \frac{\langle \psi_m^{(0)} | H_1 | \psi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}}$$

$$|\psi_n^{(1)}\rangle = \sum_{m \neq n} a_{nm} |\psi_m^{(0)}\rangle$$

$$= \sum_{m \neq n} \frac{\langle \psi_m^{(0)} | H_1 | \psi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}} |\psi_m^{(0)}\rangle$$

non-degenerate

So, if you substitute that, what we get out is what we get is the summation namely  $m$  not equal to  $n$   $\psi_m^{(0)} H_1 \psi_n^{(0)}$  times the ket vector  $\psi_m^{(0)}$ . Please remember this is a number is a complex number and it is also its an energy because the wave functions when integrated out will give you a number and this is the Hamiltonian operators the energy operator. So, what you have is energy and divided by this energy term  $E_n^{(0)} - E_m^{(0)}$ .

So, this is the wave function form or the first order correction which we shall highlight. Namely,  $\psi_n^{(1)}$ ; the first order correction to the wave function is given by a sum of all matrix elements of the Perturbing Hamiltonian  $H_1$  between that particular state  $n$  and all other states  $m$  which are not equal to  $m$ . This does not include the diagonal matrix element  $m$  equal to  $n$ . Please remember its  $m$  is not equal to  $n$ . Therefore, we have no problem with the denominator; we have assumed that the energy states are non degenerate. What does that mean? No 2 energies are equal and therefore, when the levels are different the energies are different. Therefore, the denominator never goes to 0.

Therefore, it is a well defined quantity for the first order correction to the wave function. This is what we need to use in order to obtain the second order corrections to the energy using the same method.

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Second order corrections ( $\lambda$ ) to the energy

$$E_n^{(2)}$$

$$H \psi_n = E_n \psi_n$$

$$\lambda^2 : \hat{H}_1 \psi_n^{(1)} + \hat{H}_0 \psi_n^{(2)} = E_n^{(0)} \psi_n^{(0)} + E_n^{(1)} \psi_n^{(0)} + E_n^{(2)} \psi_n^{(0)}$$

Second order corrections, in the second order here essentially means that terms associated with lambda square where lambda is our perturbation parameter to the energy and that is denoted by  $E_n^{(2)}$  ok. Now, just to do that ok, we have had about almost like 15-20 minutes. So, let us take a short break here for about a few seconds and let me write down the expression for  $E_n^{(2)}$  from the original equation that we are doing all these basic tricks and then, we will continue to derive the expression for second order.

And hopefully, in the remaining time also that just try and calculate a 1 simple problem that is as an example we will take either a particle in a one dimensional box or other problems where we do not have an exact solution and there we can use the perturbation theory. So, will pause for a few seconds break right now.

So, second order correction to the energy, we need to go back to the equation  $H \psi_n$  is equal to  $E_n \psi_n$  and pull out the terms corresponding to lambda square and please look back, look through your lectures a little bit back and then, they call this term namely  $H_1 \psi_n^{(1)} + H_0 \psi_n^{(2)}$  is equal to  $E_n^{(0)} \psi_n^{(0)} + E_n^{(1)} \psi_n^{(0)} + E_n^{(2)} \psi_n^{(0)}$ .

This is the coefficient of lambda square on the left hand side of this equation, the  $H \psi_n$  component and the coefficient that the coefficient of lambda square on the right hand side is what you have here from  $E_n \psi_n$ . So, this was derived yesterday.

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$$\begin{aligned}
 & \int \psi_n^{(0)*} \dots d\tau \\
 \Rightarrow & \int \psi_n^{(0)*} \hat{H}_1 \psi_n^{(1)} d\tau + \int \psi_n^{(0)*} \hat{H}_0 \psi_n^{(2)} d\tau \\
 = & \int \psi_n^{(0)*} E_n^{(0)} \psi_n^{(2)} d\tau + \int \psi_n^{(0)*} E_n^{(1)} \psi_n^{(1)} d\tau \\
 & + \int \psi_n^{(0)*} E_n^{(2)} \psi_n^{(0)} d\tau.
 \end{aligned}$$

$E_n^{(1)} \int \psi_n^{(0)*} \psi_n^{(1)} d\tau = 0$

Now, what we need to do is do the same trick that we did namely multiply this by  $\psi_n^{(0)*}$  and integrate both the left hand and right, left hand side and the right hand side. This  $n$  is the same as the  $n$  for which we are looking for the corrections ok, second order corrections. So, when we do that, the equation is  $\psi_n^{(0)*} H_1 \psi_n^{(1)} d\tau$  plus the integral  $\psi_n^{(0)*} H_0 \psi_n^{(2)} d\tau$  and on the right hand side, we do the same thing namely  $\psi_n^{(0)*} E_n^{(0)} \psi_n^{(2)} d\tau$  plus you have  $\psi_n^{(0)*} E_n^{(1)} \psi_n^{(1)} d\tau$  plus integral  $\psi_n^{(0)*} E_n^{(2)} \psi_n^{(0)} d\tau$ .

So, you have on the left hand side 2 terms and on the right hand side 2 terms. We can look at this and cancel some of the terms immediately ok. Let us look at this term, you see that  $H$  naught acting on the wave function  $\psi_n^{(2)}$  which we still do not know what the second order correction to the wave function is. We only know the first order correction.

Therefore,  $H$  naught can also act on the wave function on the left hand side; being a Hermitian Hamiltonian, it gives you the eigenvalue corresponding to the  $\psi_n^{(0)*}$  which is also  $E_n^{(0)}$ . Therefore, this term is immediately you can write it is  $E_n^{(0)}$  followed by this integral  $\psi_n^{(0)*} \psi_n^{(2)} d\tau$  ok. No matter what the  $\psi_n^{(2)}$  is; whether this integral is 0 or not, we can right away cancel this term with the corresponding term that you have here which is  $\psi_n^{(0)*} E_n^{(0)} \psi_n^{(2)} d\tau$ .

So, they are both gone. This is gone; canceled by that. So, what is left over is the integral  $\psi_n^{(0)*} H_1 \psi_n^{(1)}$  and on the right hand side, we have a couple of terms ok. We have  $E_n^{(1)}$  which is the first order correction, it is a constant therefore, this term can be written as  $E_n^{(1)} \int \psi_n^{(0)*} \psi_n^{(1)} d\tau$  ok. Now recall how we define the  $\psi_n^{(1)}$ ? We defined  $\psi_n^{(1)}$  in such that it does not contain the  $\psi_n^{(0)}$ . It has all the linear combinations of all the term, all the wave functions other than this term. Therefore, no matter what you do; how many terms you expand, this will be 0 ok.

Therefore, there is no correction ok. There is no correction from this term, it is all 0. So, what is left over on the right hand side is only 1 term. Now, we collect that. The right hand side is of course,  $E_n^{(2)}$  is the constant that we are looking for.

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$$\begin{aligned}
 \text{RHS: } E_n^{(2)} \int \psi_n^{(0)*} \psi_n^{(0)} d\tau &= E_n^{(2)} \\
 &= \int \psi_n^{(0)*} H_1 \psi_n^{(1)} d\tau \\
 E_n^{(2)} &= \langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(1)} \rangle
 \end{aligned}$$

Therefore, if we write the right hand side first and say  $E_n^{(2)}$  times the integral  $\psi_n^{(0)*} \psi_n^{(0)} d\tau$ , we know that this integral is equal to 1 and therefore, the right hand side has only 1 term the second order correction that we are looking for. What does the left hand side contain? It contains again only 1 term; namely, it contains this term  $\psi_n^{(0)*} H_1 \psi_n^{(1)} d\tau$  ok.

Please note that the  $H_1$  does not act on the wave function to give you in diagonal correction because  $H_1$  is something is a perturbation. Therefore, we do not have that property that  $H_1$  has diagonal or it has both diagonal and off diagonal contributions.

Therefore, the second order correction is given by this integral. But we know if you have to write this integral, it is in terms of Dirac ket it is  $\langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(1)} \rangle$ .

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The image shows a handwritten derivation of energy corrections on a yellow notepad. The equations are:

$$E_n^{(0)} = \langle \psi_n^{(0)} | \hat{H}_0 | \psi_n^{(0)} \rangle$$

$$E_n^{(1)} = \langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle$$

$$E_n^{(k)} = \langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(k-1)} \rangle$$

$$E_n^{(2)} = \langle \psi_n^{(0)} | \hat{H}_1 | \sum_{m \neq n} \frac{\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}} | \psi_m^{(0)} \rangle$$

Now, just by coincidence you might recall that  $E_n^{(1)}$  was given by  $\langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle$  and  $E_n^{(2)}$  and  $E_n^{(1)}$ .

So, in principle, if I need to know the correction to any  $n$ th order or any  $k$ th order whatever it is; third, fourth, fifth etcetera all I need to do is to do this quantity, calculate this quantity  $\langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(k-1)} \rangle$  if you have to calculate it. Is it true or not, I want you to check it in your calculation.

But we will just now do the complete the second order correction namely  $E_n^{(2)}$  is integral  $\langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(1)} \rangle$ . This is the ket that the bra state that we are writing and now you remember  $\psi_n^{(1)}$  was given by  $\sum_{m \neq n} \frac{\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}} | \psi_m^{(0)} \rangle$  ok.

This whole thing that you see, this whole thing is a complex number or real number depending on the value of this matrix element, summed over. Therefore, you can immediately take that out and write this in a more compact form.

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$$= \sum_{m \neq n} \frac{\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle \langle \psi_n^{(0)} | \hat{H}_1 | \psi_m^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}}$$

$$E_n^{(2)} = \sum_{m \neq n} \frac{|\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle|^2}{E_n^{(0)} - E_m^{(0)}}$$

Namely, sum over m not equal to n  $\psi_m^{(0)} \hat{H}_1 \psi_n^{(0)}$  and then, we will write this part here namely  $\psi_n^{(0)}$  sorry, I should have a 0 here  $\psi_n^{(0)} \hat{H}_1 \psi_m^{(0)}$  divided by  $E_n^{(0)}$  minus  $E_m^{(0)}$  ok. Now the summation is for both the denominator and the numerator.

So, you have to be careful that you write this as m not equal to n; you have 2 wave function matrix a 2 Hamiltonian matrix elements perturbation  $\hat{H}_1$  matrix element  $\psi_m^{(0)} \hat{H}_1 \psi_n^{(0)}$ ,  $\psi_n^{(0)} \hat{H}_1 \psi_m^{(0)}$  and if you know the Dirac ket bras, the bracket properties, this bracket is the complex conjugate of this bracket. That is whatever is the value of this the complex conjugate the start value is what you will get here. And therefore, even more compactly, we can write this a number multiplied by its complex conjugate is nothing but the absolute square of the complex conjugate. Therefore, we can write the sum as m not equal to n. The absolute value of this matrix element  $\psi_n^{(0)} \hat{H}_1 \psi_m^{(0)}$  squared divided by  $E_n^{(0)}$  minus  $E_m^{(0)}$  ok.

This is equal to  $E_n^{(2)}$  and this is a very very standard Second Order Perturbation Theory correction for non-degenerate state.

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The image shows a handwritten slide on a yellow background. At the top, it says  $m \neq n$ . Below that is the equation for the second-order energy correction: 
$$E_n^{(2)} = \sum_{m \neq n} \frac{|\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle|^2}{E_n^{(0)} - E_m^{(0)}}$$
 The term  $|\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle|^2$  is highlighted in green, and an arrow points to it with the text "off-diagonal matrix element". Below the equation, it says "Second order correction to the energy of the system with non-degenerate system". The slide is from an NPTEL presentation, as indicated by the logo in the bottom left corner.

Second order correction to the energy of the system with non-degenerate state. So, what you are looking at is that you have a matrix element if you recall the last lecture, I drew the matrix for  $H_1$  and I showed you that there are diagonal elements and off diagonal elements if you organize the basis functions of the 0 order Hamiltonian in an order in the same order as you have it in the different rows and also in the different columns. Then, the matrix element between the bra state of a particular row, the Hamiltonian and the ket state of that particular column is what is called the matrix element of the Hamiltonian.

So, now if you look at that the term that you have here namely the  $\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle$  is your matrix element, but please remember  $m$  is not equal to  $n$  and therefore, this is the off-diagonal matrix element ok. The off-diagonal matrix element; but unfortunately the perturbation correction does not depend only on that. The magnitude of the off-diagonal matrix elements squared divided by the energy difference between the corresponding diagonal and the off-diagonal quantity. Now, let us see that pictorially what it means.



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$$\hat{H}_0 = \begin{pmatrix} E_1^{(0)} & 0 & \dots & 0 & \dots & 0 \\ 0 & E_2^{(0)} & \dots & 0 & \dots & \dots \\ 0 & 0 & E_3^{(0)} & 0 & \dots & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & E_n^{(0)} \end{pmatrix}$$

Let us draw the matrix for  $H_1$  ok. First let us draw the matrix for  $H_0$  ok. We know that the basic functions are eigenfunctions of  $H_0$ . Therefore, the matrix of  $H_0$  is only diagonal it is  $E_1$ , everywhere else it is 0.  $E_2$ , everywhere else it is 0 that is how we started the perturbation theory. That is we know a 0 order problem. The solutions to the 0 order problem,  $E_0$  and so on. What was the matrix for  $H_1$ ?

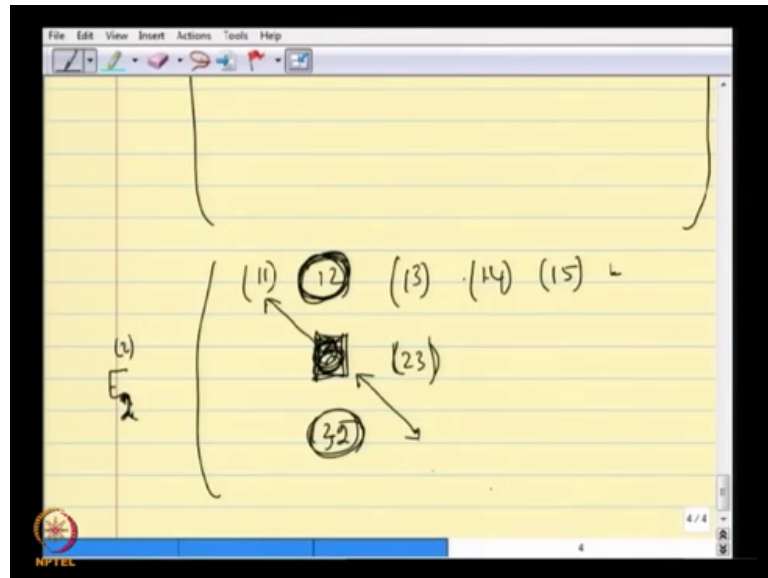
(Refer Slide Time: 30:36)

$$\hat{H}_1 = \begin{pmatrix} \langle \psi_1^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle & \langle \psi_1^{(0)} | \hat{H}_1 | \psi_2^{(0)} \rangle & \dots \\ \langle \psi_2^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle & \langle \psi_2^{(0)} | \hat{H}_1 | \psi_2^{(0)} \rangle & \langle \psi_2^{(0)} | \hat{H}_1 | \psi_3^{(0)} \rangle & \dots \end{pmatrix}$$

We wrote the matrix element;  $\psi_1^0 H_1 \psi_1^0$  and this first row the second element was  $\psi_1^0 H_1 \psi_2^0$  and so on as many basis functions. So, if you have an infinite

basis set, this matrix is an infinite dimensional matrix basis the infinite dimensional matrix and what is the second one? It is  $\psi_2^0 H_1$ . Let me make sure that the hats are all there and  $\psi_1^0 \psi_2^0 H_1 \psi_2^0$  and of course, this one is  $\psi_2^0 H_1 \psi_3^0$  and so on.

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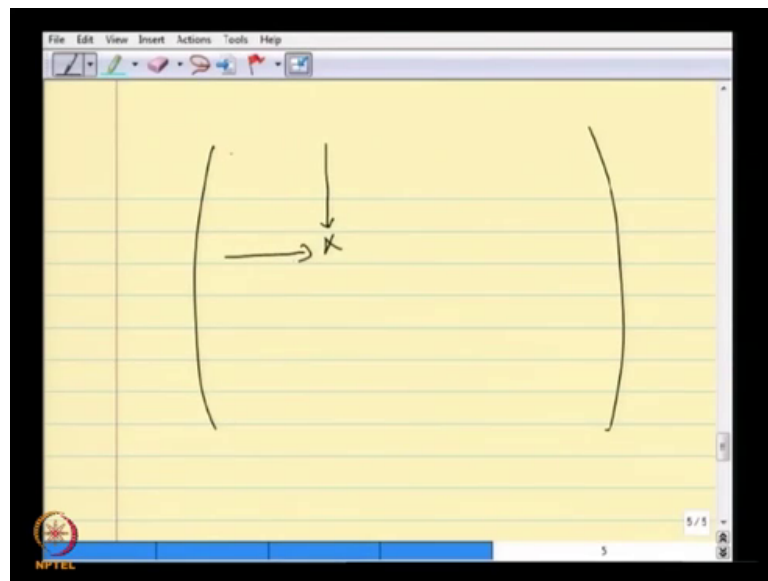
Now, so, if I have to write it even more symbolically what I have is I want to look at the correction to the  $n$ th element that is the  $n$ th diagonal element. Please remember  $E_n^0$  if it is here,  $E_n^2$  represents the second order correction to this value due to the perturbation ok. Now, what you see is that the second order correction to this value is now given by a summation of all possible values with energy denominators. The energy denominators are suppose you have  $E_n^0$ , we start with and let us put the first here is the first one, the expression here.

Let us assume that some value of  $n$ ;  $m$  will be 1 to start with. So, we take the matrix element of  $\psi_1^0 H_1 \psi_n^0$ , whatever that  $n$  may be divided by  $E_n^0 - E_1^0$  ok. Therefore, we are taking the if you write this as 1 2 3 4 corresponding to various matrix element 5 etcetera sorry 1 1 1 2, I think that is the better way of writing it 1 3 1 4 1 5 and so on and if you do that the perturbation correction to  $E_n^2$  is given by the matrix element. Suppose this is 2; second energy level, then we have the matrix element 1 2 as the first item divided by the energy difference between 1 1 and 2 2.

If the  $n$  is 2,  $2 - 1$ , this 2 is the diagonal matrix element of the 0 (Refer Time: 33:46) Hamiltonian second row, second column. So, it is  $2^2$ . This is the first row, first column 1. So, it involves the difference between the 2 energies and the corresponding of diagonal matrix element this one. We are looking for correction to this term ok, looking for corrections to this term  $E_n^2$ . But it does not stop with that. It is also looking at the corrections to this is the next term in the summation if you look at the  $m$ ;  $m$  cannot be 2, but  $m$  can be 3.

So,  $2^3$  will be there, here. When  $n$  is 2,  $m$  can be 1, 3, 4, 5 etcetera; if  $m$  is 3, we have  $3^2$  divided by  $2 - 3$ . So,  $2^3$  or  $3^2$ ; this is the  $3^2$  matrix element ok. So, it is also  $3^2$  matrix element, but the difference between the energy is 3 and 2 and what about the next one? The next one will be  $4^2$ .

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So, if you look at the perturbation, what perturbation does is any energy gets corrected by the corresponding off diagonal matrix element; off diagonal matrix element with that energy and the difference between the two.

Therefore, if you now assume that the energy levels are further and further and further way, you will see that the matrix element is of the perturbing Hamiltonian has a certain value, but the energy denominators increase. If the energy denominators increase to very large values, but the matrix element of the Hamiltonian Perturbing Hamiltonian remains more or less the same, you can see that higher order correction, the higher the corrections

of terms further away are going to be less and less. So, all we can hope for is that if these energies are very far apart that we even in that summation, we do not need to calculate many terms ok.

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$$E_1^{(2)} = \sum_{m \neq 1} \frac{|\langle \psi_m^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle|^2}{E_1^{(0)} - E_m^{(0)}}$$

$$= \frac{|\langle \psi_2^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle|^2}{E_1^{(0)} - E_2^{(0)}} + \frac{|\langle \psi_3^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle|^2}{E_1^{(0)} - E_3^{(0)}} + \dots$$

Let me just put that in perspective by writing an explicit second order correction to energy level 1. So, we will do that. The expression would be  $n$  not equal to 1  $\psi$  sorry  $m$ ,  $m$  not equal to 1  $\psi$   $m$   $0$   $H$   $1$   $\psi$   $1$   $0$  the absolute square divided by  $E$   $1$   $0$  minus  $E$   $m$   $0$  isn't it? We had the correction is  $E$   $n$ , yeah  $1$   $0$ . So, if we expand to this; it is like this.  $\psi$   $2$   $m$  will be the first value will be  $m$  equal to  $2$   $\psi$   $2$   $0$   $H$   $1$   $\psi$   $1$   $0$  square by  $E$   $1$   $0$  minus  $E$   $2$   $0$  plus the next term will be  $m$  equal to  $3$  which means  $\psi$   $3$   $0$   $H$   $1$   $\psi$   $1$   $0$  divided by  $E$   $1$   $0$  minus  $E$   $3$   $0$  plus so on; so,  $2$   $3$   $4$   $5$  etcetera.

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$$\begin{aligned}
 & m \neq 1 \quad E_1^{(2)} - E_m^{(2)} \\
 & = \frac{|\langle \psi_2^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle|^2}{E_1^{(0)} - E_2^{(0)}} + \frac{|\langle \psi_3^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle|^2}{E_1^{(0)} - E_3^{(0)}} \\
 & \quad + \dots \\
 & \boxed{|E_1^{(0)} - E_m^{(0)}| \gg |\langle \psi_m^{(0)} | \hat{H}_1 | \psi_1^{(0)} \rangle|} \\
 & \quad \sim 10
 \end{aligned}$$

If these denominators energies, if the energies are further and further apart as for the 0 order Hamiltonian 1 2 3 4 etcetera, you can see that this itself assuming that the magnitude of these matrix elements on the numerator are more or less the same that, they do not vary too much. Assuming, you would see that these terms will decrease in size and therefore, as we compute even second order perturbation correction, we do not have to include many many terms. It is possible that we do 2 3 4 maybe 5 terms of this and then, the corrections are not very significant provided the energies  $E_{10} - E_{m0}$  is much larger ok.

Then,  $\psi_{m0} \hat{H}_1 \psi_{10}$  absolute square ok. Now, this is a precise statement of the validity of Perturbation Theory that is the difference between the 0 order energy levels connected by the perturbation, connected by the perturbation  $\hat{H}_1$  connects 1 to m. Therefore, the 0 order energy level differences for those energies connected by the perturbations are very large.

The magnitude of the Perturbing Hamiltonian itself is very small such that the ratio is much much less than 1 that is a statement to say that Perturbation Theory will work very well when this condition is satisfied. Of the order of 10, then you take the ratio that is  $E_{10} - E_{m0}$  is 10 times less, Perturbation Theory is good; 5 times, the convergence is poor and anything else, it is poorer and therefore, maybe we will have to calculate many more terms. Not only this that is only for  $E_n$ .

But if this condition is valid, if this condition is not valid sorry if this condition is violated; we may even need to calculate  $E_n^{(3)}$ ,  $E_n^{(4)}$ ,  $E_n^{(5)}$  and so on. Therefore, we have to be very careful about the use of Perturbation Theory particularly we need to check the magnitudes of the quantities involved and this is a statement which you might want to remember as a condition for perturbation theory ok. So, let me then summarize the results that we have ok, for this lecture.

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The image shows handwritten notes on a yellow background, likely from a presentation slide. The notes are as follows:

$$H_0 |\psi_n^{(0)}\rangle = E_n^{(0)} |\psi_n^{(0)}\rangle$$

$$\hat{H} = \hat{H}_0 + \lambda \hat{H}_1 \quad \lambda \rightarrow 1 \text{ at the end of the calculation}$$

$$\rightarrow \hat{H}_0 + \hat{H}_1$$

$$E_n^{(1)} = \langle \psi_n^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle$$

$$|\psi_n^{(1)}\rangle = \sum_{m \neq n} \frac{\langle \psi_m^{(0)} | \hat{H}_1 | \psi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}} |\psi_m^{(0)}\rangle$$

We started with  $H$  naught  $\psi_n$  0. Now, everything is written in terms of the bracket notation as  $E_n$  0  $\psi_n$  0 ok. We the Hamiltonian that we used was  $H$  naught plus  $\lambda$   $H_1$   $\lambda$  to be set to 1 at the end of the calculation. So, that the Hamiltonian becomes essentially it goes to  $H$  naught plus  $H_1$  and now, we have done the 2 calculations with  $\lambda$  and  $\lambda$  square, the first order correction  $E_n$  1 was given as the matrix element of  $\psi_n$  0  $H_1$   $\psi_n$  0.

The first order correction to the wave function  $\psi_n$  1 that we wrote was just want to make sure that I do not have (Refer Time: 41:39) sum over  $m$  not equal to  $n$ . We had  $\psi_m$  0  $H_1$   $\psi_n$  0 times the wave function  $\psi_m$  0, the ket divided by the energies  $E_n$  0 minus  $E_m$  0.

(Refer Slide Time: 42:10)

The image shows handwritten mathematical derivations on a yellow notepad background. The derivations are as follows:

$$|\psi_n^{(2)}\rangle = \sum_{m \neq n} \frac{\langle \psi_m | H_1 | \psi_n \rangle}{E_n^{(0)} - E_m^{(0)}} |\psi_m\rangle$$

$$E_n^{(2)} = \langle \psi_n^{(0)} | H_1 | \psi_n^{(1)} \rangle = \sum_{m \neq n} \frac{|\langle \psi_m^{(0)} | H_1 | \psi_n^{(0)} \rangle|^2}{E_n^{(0)} - E_m^{(0)}}$$

On the right side of the notepad, there is a definition of the Kronecker delta:

$$\langle \psi_m^{(0)} | \psi_n^{(0)} \rangle = \delta_{mn}$$

And finally, the energy correction to second order  $E_n^{(2)}$  as the corrections  $\psi_n^{(0)}$   $H_1$   $\psi_n^{(1)}$  and that was given as sum over  $m$  not equal to  $n$   $\psi_m^{(0)}$   $H_1$   $\psi_n^{(0)}$ , the absolute square divided by  $E_n^{(0)}$  minus  $E_m^{(0)}$ .

These are the 3 most important results and of course, we have used the conditions  $\psi_m^{(0)}$   $\psi_n^{(0)}$  is the Dirac is the Kronecker delta  $\delta_{mn}$  which is 1, if  $m$  is equal to  $n$  or 0 if  $m$  is not equal to  $n$ . So, this together is the summary of the 2 lectures that we have and what we will do is in the remaining time that we have will start looking for 1 or 2 simple examples of how to apply these formulas ok.

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The image shows a handwritten note on a yellow notepad. At the top, there is a formula for the transition probability from state  $n$  to state  $m$  (where  $m \neq n$ ):

$$= \sum_{m \neq n} \frac{|\langle \psi_m | H_1 | \psi_n \rangle|^2}{E_n^{(0)} - E_m^{(0)}}$$

Below the formula, there is a heading: Examples of how to apply these formulae.

Now, let us take the first problem that we want to look at as a simple example will consider the particle I would not be able to complete that, but I will start this so that in the next lecture, we will continue from every left. And you will also have some time to look at and probably progress on your own to anticipate what I would like to say in that lecture. So, let us take the particle in a one dimensional box.

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The image shows a handwritten note on a yellow notepad. At the top, there is a heading: Examples of how to apply these formulae.

Below the heading, there is a heading: Particle in a one dimensional box:

The Hamiltonian is given by:

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x)$$

where  $V(x) = \infty$  for  $x \leq 0, x \geq L$

$L$  is the length of the box

$V(x) = 0$  for  $0 < x < L$

And we all know that the Hamiltonian 0th order is minus  $\hbar^2$  square by  $2m$  square by  $dx^2$  plus your  $v$  of  $x$ , where the  $v$  of  $x$  is such that  $v$  of  $x$  is infinity infinite;



very very large for say  $x$  less than or equal to 0  $x$  greater than or equal to  $L$ , where  $L$  is the length of the box, length of the box and  $v$  of  $x$  is 0 if  $x$  is between 0 and  $L$  less than  $x$ ;  $x$  is 0 less than  $x$  less than  $L$  ok.

So, the boundaries are at 0 and  $L$  and at the boundaries and any point beyond the boundary on either side of the boundary is the potential is infinite and inside the box the potential is 0. That is a correct form; very often you use minus  $\hbar^2$  by  $2m$  d square by  $d x$  square. Please remember that can lead to confusion because remember that the wave function for the particle in a one dimensional box is not an eigenfunction of the momentum operator.

Precisely for this reason that the boundary condition has actually destroyed that, the commutativity between the free momentum operator of the particle in a box and the total energy operator which is not just  $p$  square by  $2m$ . But it is  $p$  square by  $2m$  plus  $v$  such that  $v$  is infinite and all points other than the box and  $v$  is 0, the points inside the box. These 2 statements have to go together ok.

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An artificial perturbation

$$H_1 = c \hat{x} (L - \hat{x})$$

$$E_n^{(1)} = \frac{\hbar^2 n^2}{8mL^2}$$

$x=0$   $x=L$   $v=0$

So, if you remember that, then we will consider an artificial perturbation to the box problem. So, sorry that is a poor drawing let me just write let us see ok. This line is there because I can draw on top of it, but this line let us just do that ok. So, this is  $x$  is equal to 0;  $x$  is equal to  $L$ . The dot dots to tell the potential is infinity; infinity and these 0 ok.

Now, we are going to consider obviously, a perturbation that exists only in the box. There is no point in adding perturbations to the walls it is already in finite potential. So, let us consider  $v$  of  $x$  the perturbation sorry the  $H$  which we have been using  $H_1$ .  $H_1$  is a potential of this form  $C$  some constant times  $x$  into  $L$  minus  $x$ . Please remember when we write the Hamiltonian, all the quantities that we have are operators  $x$  is operator well,  $L$  is actually a number; but  $L$  is multiplied by the identity operator. So, I guess it is correct to put that  $L$  minus  $x$  ok.

Now, you see that the potential the perturbing potential is 0 at the ends, but its non-zero inside the box and how do we calculate corrections to the particle in a box energy. What are the energies? The  $E_n^{(0)}$ 's for the particle in the box are all given by  $H$  square by  $8 m L$  square  $n$  square.

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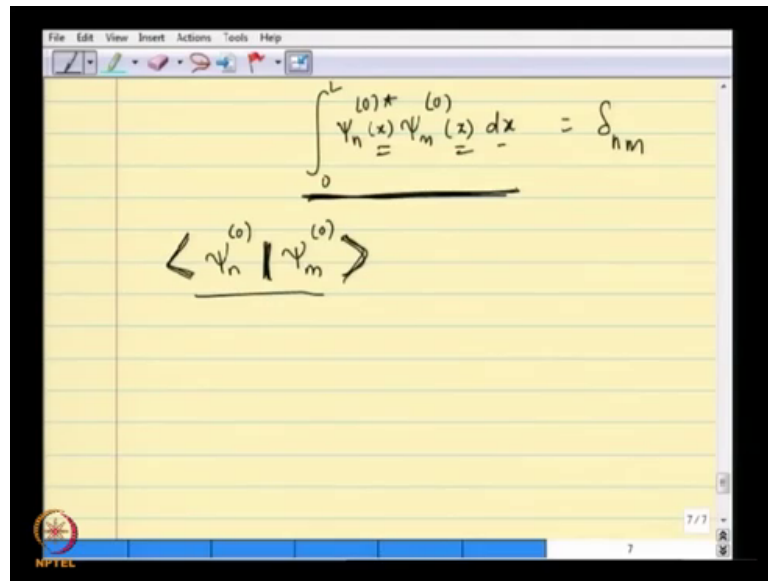
The image shows handwritten mathematical notes on a yellow background, likely from a presentation slide. The notes are as follows:

- Hamiltonian:  $H_1 = C \hat{x} (L - \hat{x})$
- Energy levels:  $E_n^{(0)} = \frac{\hbar^2 n^2}{8mL^2}$
- Wave functions:  $\psi_n^{(0)} = \sqrt{\frac{2}{L}} \sin \frac{n\pi x}{L}$
- Orthogonality integral:  $\int_0^L \psi_n^{(0)*} \psi_m^{(0)} dx = \delta_{nm}$

There is also a small diagram of a rectangular box representing a potential well. The vertical axis is labeled  $v=0$  at the top. The horizontal axis is labeled  $x=0$  at the left and  $x=L$  at the right. The interior of the box is empty, representing a zero potential region.

And the wave functions are all given by  $\psi_n^{(0)}$  as square root of 2 by  $L$  sin  $n \pi x$  by  $L$  ok. Let me just write that carefully; sin  $n \pi x$  by  $L$ . So, this is the 0 order wave function and we also know that this integral  $\psi_n^{(0)*} \psi_m^{(0)}$  here it is a coefficient the degree of freedom is the particle position  $d x$  between 0 and  $L$  is  $\delta_{nm}$ .

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The image shows a digital notepad with a yellow background and a black border. At the top, there is a menu bar with 'File', 'Edit', 'View', 'Insert', 'Actions', 'Tools', and 'Help'. Below the menu bar is a toolbar with various drawing tools. The main area of the notepad contains two handwritten mathematical expressions. The first expression is an integral equation: 
$$\int_0^L \psi_n^{(0)*}(x) \psi_m^{(0)}(x) dx = \delta_{nm}$$
 The second expression is a bra-ket notation: 
$$\langle \psi_n^{(0)} | \psi_m^{(0)} \rangle$$
 At the bottom left of the notepad, there is a small logo for NPTEL. At the bottom right, there is a small number '777'.

Now, before I close, I just like to tell you that this is the same thing as  $\psi_n^{(0)} \psi_m^{(0)}$ , where you have seen that this  $x$  and the integral  $dx$  have all been taken care of by this notation ok. That is the integration over all the coordinates is not represented, I mean it is understood when you do this bracket and what you have is an abstract sort of a linear algebraic notation that the way the eigen kets are orthogonal to each other. And the eigen kets in the coordinate representation or orthogonal as given by this integral.

So, we shall keep this in mind when we solve the problem of correcting the energies due to this perturbation in the next lecture. But if you wish to, please go ahead and solve some of these elementary things yourself before coming to the next lecture, before listening to the next lecture, until then.

Thank you very much.