

**Microwave Engineering**  
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**Lecture 01**  
**Introduction to Microwave Engineering and Transmission line theory**

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### Contents

- Brief history of microwaves
- Microwave frequency bands
- Different applications of microwave
- Microwave transmission lines
- Lumped element circuit model of transmission line

Welcome to this first lecture of the course microwave engineering, in this lecture, we give a brief introduction to microwave engineering and transmission line theory. The contents covered in this lecture are brief history of microwaves, we have a discussion on different microwave frequency bands, different applications of microwave and then I will briefly introduce the microwave transmission lines and the lumped elements circuit model used to represents such transmission line.

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### Brief history of microwaves

- The term Microwaves usually refer to electromagnetic waves with wavelengths ranging from about one meter to one millimetre.
- Microwaves were first introduced in the technical literature in 1932 by Nello Carrara, to designate electromagnetic (EM) waves having wavelength smaller than 30 cm ( i.e. frequency above 1 GHz) [1][2].

[1] R. Sorrentino and G. Bianchi, " Microwave and RF Engineering", John Wiley and Sons, 2010

[2] N. Carrara, 'The detection of microwaves', Proceedings of the Institute of Radio Engineers (IRE), Vol. 20, No. 10, pp. 1615–1625, 1932

The term microwaves usually refer to electromagnetic waves with wavelengths ranging from about one metre to one millimetre. Microwaves were first introduced in the technical literature in 1932 by Nello Carrara to designate electromagnetic waves having wavelength smaller than 30 cm, that is frequency about 1 GHz. The detection of microwaves appeared in the proceedings of institute of radio engineers in the 1932.

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## Brief history of microwaves

- Foundations of modern electromagnetic theory were formulated by James Clerk Maxwell in 1873
- Maxwell's formulation was cast in its modern form by Oliver Heaviside during the period from 1885 to 1887
- He introduced vector notation, and provided a foundation for practical applications of guided waves and transmission lines.

Ref: D. M. Pozar, Microwave Engineering; 4/e, John Wiley & Sons Inc, 2012.

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## Brief history of microwaves

- During the period 1887-1891, Heinrich Hertz, a noted German physicist and experimentalist provided experimental validation of Maxwell's theory of electromagnetic waves
- Due to lack of reliable microwave sources and other components, the growth of radio technology in the early 1900s occurred primarily in the HF (3-30 MHz) to VHF (30-300 MHz) range.

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During the period 1887 to 1891, Heinrich Hertz a noted German physicist and experimentalist provided experimental validation of Maxwell's theory of electromagnetic waves. Due to the lack of reliable microwave sources and other components, the growth of radio technology in early 1900s occurred primarily in the high-frequency (HF) band, which covers 3 to 30 MHz to very high-frequency or VHF band which covers 30 to 300 MHz range.

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## Brief history of microwaves

- During 1895 Marconi designed, built and experimented with a microwave communication system that worked at a wavelength of 10 inches (or 25.4 cm) corresponding to a centre frequency of about 1.18 GHz in the present day L-Band [1].
- Marconi's early experiments on radio, during 1894-1896, were at frequencies on the order of 1000 MHz although his major efforts in developing commercial wireless service were at very much lower frequencies in order to achieve practical long-distance communications [2].

[1] Prebir K. Bandyopadhyay, "Guglielmo Marconi - The father of long distance radio communication - An engineer's tribute", 25th European Microwave Conference, 1995  
DOI: 10.1109/EUMA.1995.337090

[2] H. Sobol, "Microwave Communications- An Historical Perspective", IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, VOL. MTR-32, NO.9, SEPTEMBER 1984

During 1895 Marconi designed, built and experimented with a microwave communication system that worked at a wavelength of 10 inches, which corresponds to a centre frequency of about 1.18 GHz in the present day L band. So initial work of Marconi were in the microwave frequency band, Marconi's early experiment on radio, during 1894 to 1896, were at frequencies on the order of 1000 MHz, although his major efforts in developing commercial wireless device were read very much lower frequencies in order to achieve practical long-distance communication.

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## Brief history of microwaves

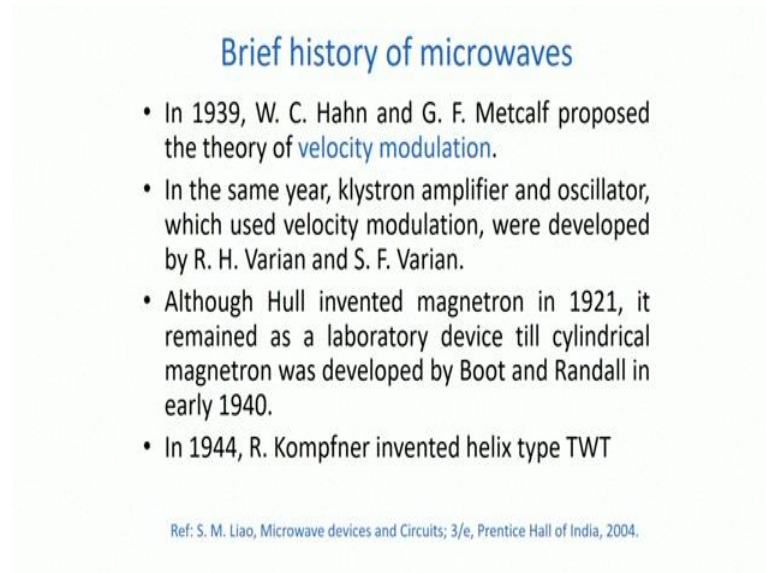
- In the late 1930s, it became evident that several effects limit the operation of vacuum tubes in the microwave frequency band, as wavelength becomes comparable to the dimensions of the tube.
- Possibility of microwave generation by utilizing transit time effects together with lumped tuned circuits was suggested by A. A. Heil and O. Heil in 1935

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circuits were suggested by A. A. Heil and O. Heil in 1935. In conventional tube, the transit time from cathode to anode become an issue and to reduce the transit time, if the inter-electrodes spacing is reduced, then it increases the inter-electrode capacitance. So this effort to utilise transit time in microwave frequency generation it created a new direction.

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**Brief history of microwaves**

- In 1939, W. C. Hahn and G. F. Metcalf proposed the theory of **velocity modulation**.
- In the same year, klystron amplifier and oscillator, which used velocity modulation, were developed by R. H. Varian and S. F. Varian.
- Although Hull invented magnetron in 1921, it remained as a laboratory device till cylindrical magnetron was developed by Boot and Randall in early 1940.
- In 1944, R. Kompfner invented helix type TWT

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In 1939 W.C. Hahn and J. F. Metcalf proposed the theory of velocity modulation. In the same year, klystron amplifier and oscillator, which use velocity modulation, were developed by R.H. Varian and S.F. Varian. Another very popularly used microwave source is magnetron, although Hull invented magnetron in 1921, it remained as a laboratory device till cylindrical magnetron was developed by Boot and Randall in early 1940. In 1944, R. Kompfner invented helix type travelling wave tube.

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## Brief history of microwaves

- Radar, the first major application of microwave technology, was intensively developed during World War II. With the advent of radar, microwave theory and technology received substantial interest.
- Radiation Laboratory was established at the Massachusetts Institute of Technology to develop radar theory and practice.
- Several renowned scientists contributed to theoretical and experimental treatment of waveguide components, microwave antennas, small-aperture coupling theory, and microwave network theory.

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## Brief history of microwaves

- Early 1960s saw the emergence of solid state microwave sources and microwave integrated circuits.
- Hybrid microwave integrated circuits started maturing in mid 1970s and Monolithic microwave integrated circuit technology became popular in 1990s.
- The recognition of Microwave Engineering as a major field within electrical engineering resulted in creation of IRE group of MTT in 1952

Early 1960s saw the emergence of solid-state microwave sources and microwave integrated circuits. Hybrid microwave integrated circuits started maturing in mid-1970s and monolithic microwave integrated circuits, known as MMIC, such technology became popular in 1990s, in hybrid MIC the conductor and the transmission line, these are printed on the substrate,

while the discrete components are bonded to the substrate. In MMIC both active and passive components are fabricated together on the substrate and semiconductor substrates are used. The recognition of microwave engineering as a major field within electrical engineering resulted in creation of IRE group of MTT in 1952, IRE later became IEEE.

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### Microwave Frequency Bands

- Microwaves usually correspond to frequencies between 300 MHz and 300 GHz, (wavelengths between 1 millimetre and 1 metre).
- In the Electromagnetic spectrum, microwaves occupy the frequencies above ordinary radio waves and below infrared light.
- The microwave frequency range is further subdivided into several bands

Microwaves occupy the frequency band from 300 MHz to 300 GHz which correspond to wavelengths between 1 millimetre and 1 metre. In the electromagnetic spectrum, microwaves occupy the frequencies above ordinary radio waves and below the infrared light. Now 300 MHz to 300 GHz is a huge frequency band and the microwave frequency range is further subdivided into several bands. Although there are different band designations, we will follow the microwave frequency bands which are recommended by IEEE.

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## Microwave Frequency bands (IEEE)

Designation	Frequency range in GHz
UHF	0.3-1
L	1-2
S	2-4
C	4-8
X	8-12
Ku	12-18
K	18-27
Ka	27-40
V	40-75
W	75-110
Millimeter wave	30-300
Sub-millimeter Waves	300-3000

Ref: R. Sorrentino and G. Bianchi, "Microwave and RF Engineering", John Wiley and Sons, 2010

The frequency range from 0.3 to 1 GHz is designated as UHF, L band is from 1 to 2 GHz, S band is from 2 to 4 GHz, C band is from 4 to 8 GHz, X band is 8 to 12 GHz, Ku band is from 12 to 18 GHz and K band is from 18 to 27 GHz, Ka 27 to 40 GHz, V band is from 40 to 75 GHz and W band is from 75 to 110 GHz. Usually the frequency band from 30 to 300 GHz are called millimetre wave and 300 to 3000 GHz these are submillimetre waves.

The letters used to designate microwave bands has their connection to World War II times, L refers to long, S refers to short, K refers to the German word Kuds, similarly Ku is K under, Ka is K above.

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## Applications of Microwaves

- **Communication**
  - Terrestrial
    - Microwave Links
    - Cellular
    - WLAN
  - Satellite
- **Radar**
  - Civilian
    - Air Traffic Control
    - Ship Traffic Control
    - Car Traffic Control
    - Remote Sensing
  - Military
    - Surveillance
    - Navigation
    - Weapon Guidance
    - Electronic Warfare

Let us now come to the application of microwaves. Microwaves have wide range of applications, one major area of application is commutation, microwave frequencies are used



for terrestrial commutation, in the form of microwave links, cellular wireless commutation and WLAN. Satellite commutation takes place in the microwave frequency band. Another major application of microwave is in radar. Radars may be of different types. Civilian applications of radar include air traffic control, ship traffic control, car traffic control, remote sensing, whereas military application of radar include surveillance, navigation, weapon guidance, electronic warfare.

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### Applications of Microwaves

- **Industrial and commercial**
  - Heating
  - Drying
  - Cutting
  - Process control
  - Waste treatment
  - Sensing and monitoring
- **Biomedical**
  - Hyperthermia
  - Imaging
  - Microwave spectroscopy and sensing for biological cells
  - Medical implantable devices

Microwaves also have industrial and commercial application in heating, drying, cutting, process control, waste treatment, sensing and monitoring. Microwave frequencies find application in biomedical field, the examples are hyperthermia, imaging, microwave spectroscopy and sensing for biological cells, medical implantable devices.

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### Microwave Transmission Lines

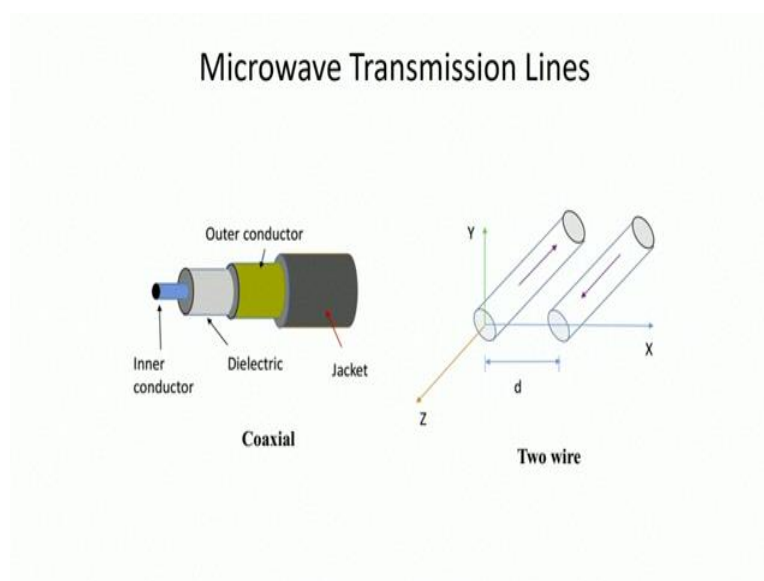
- Transmission line refers to a structure used to guide the flow of energy from one point to the other
- A uniform transmission line is defined as one whose dimensions and electrical properties are identical to planes transverse to the direction of wave propagation
- The main features desired in transmission lines are:
  - Single mode propagation over a broad band of frequencies
  - Signal attenuation should be very small
- A wide variety of transmission line structures have been developed for the microwave band of frequencies.

Let us now come to the microwave transmission lines. Transmission line refers to a structure which is used to guide the flow of energy from one point to the other. A uniform transmission line is defined as one whose dimensions and electrical properties are identical to the planes transverse to the direction of wave propagation. Now in transmission line the flow of energy takes place because of the propagation of wave and in uniform transmission line the

dimensional electrical properties remain identical to the plane of planes transverse to the direction of propagation.

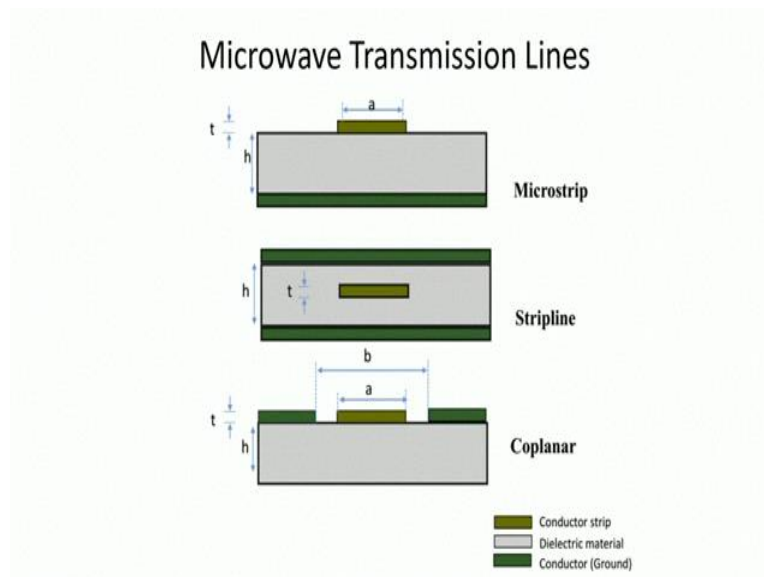
The main features desired in transmission lines are, single mode propagation over a broad band of frequencies, normally will see two conductor transmission lines they have TEM mode of propagation, whereas later on, we will consider transmission structure which use other modes of propagation like TE and TM. The signal attenuation should be very small, a wide variety of transmission line structures have been developed for microwave band of frequencies.

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Here will consider examples of some TEM transmission lines or quasi TEM transmission lines. A very popular transmission line is a coaxial transmission line, where we have the inner conductor and an outer conductor in the form of concentric cylinders separated by a dielectric media. Another form of transmission line is a two-wire transmission line, here we have a set of parallel wires and these are used to guide electromagnetic wave from source to destination.

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Microwave transmission lines are also designed in the planar form, and this type of transmission lines are often compatible with the microwave integrated circuits. Some basic forms of planar transmission lines are microstrip lines, where the line is separated from a ground plane by a dielectric layer, then stripline the central conductor has ground plane on both above and below and the dielectric fields are space in between. In a coplanar line, the central conductor is surrounded by the ground plane, but all are in the same plane.

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### Lumped Element circuit Model of Transmission Line

- A transmission line can be analyzed by solving Maxwell's equations and applying appropriate boundary conditions.
- However, a simpler technique which utilizes ac circuit concepts can be used where the phenomenon of wave propagation on transmission lines can be approached from an extension of circuit theory
- Circuit analysis assumes that the physical dimensions of the network to be much smaller than the electrical wavelength so that the elements of the network can be treated as lumped.

Let us now come to the lumped elements circuit model of transmission line. A transmission line can be analysed by solving Maxwell's equations and applying appropriate boundary conditions on the conductors and the conductor dielectric interface. However, a simpler

technique which utilizes ac circuit concepts can be used where the phenomena of wave propagation on transmission lines can be approached from an extension of circuit theory. Circuit analysis assumes the physical dimensions of the network to be much smaller than the electrical wavelength so that the elements of the network can be treated as a lumped element.

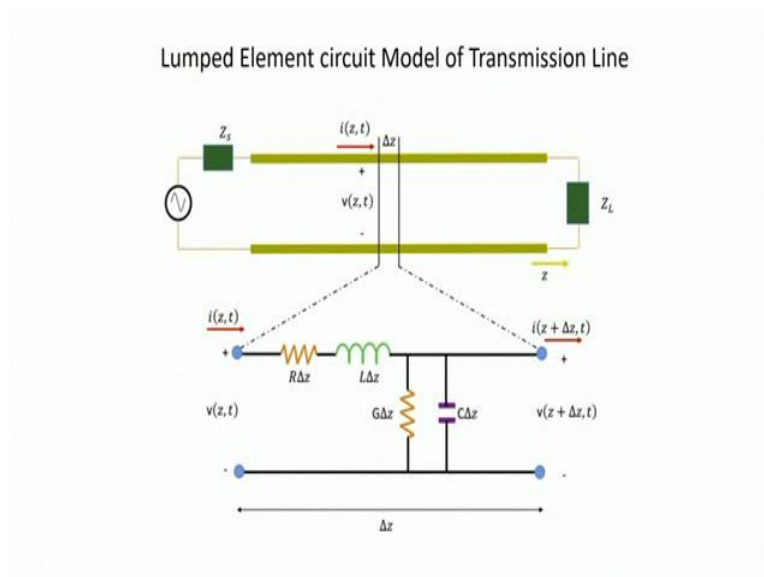
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#### Lumped Element circuit Model of Transmission Line

- At microwave frequencies, a transmission line may be of the order of a wavelength, or many wavelengths, in size.
- Voltages and currents can vary in magnitude and phase over its length
- A transmission line, as an extension of circuit theory, is modelled as a distributed parameter network
- A transmission line is often schematically represented as a two-wire line and an infinitesimal section of such transmission line can be modelled as lumped element circuit.

When it comes to microwave frequencies, a transmission line may be of the order of a wavelength or many wavelengths in size. The voltages and currents can vary in magnitude and phase over its length. A transmission line as an extension of circuit theory is modelled as a distributed parameter network. It is often schematically represented as a two-wire line and an infinitesimal section of such transmission line can be modelled as lumped elements circuit.

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Next we show the representation of some such long elements circuit. Here we see a source is connected to a transmission line and the other end of the line is connected to the load, we considered a very small section  $\Delta Z$  of this transmission line, since the dimension of  $\Delta Z$  is now much smaller compared to the wavelength, this section can be modelled through lumped element representation. So here we have a resistance represented by  $R\Delta Z$ .

Inductance  $L\Delta Z$ ,  $G\Delta Z$  represents the conductance and  $C\Delta Z$  represents the capacitance. It should be noted that this quantities  $R, L, G$ , and  $C$ , these are per unit length quantities. The current and voltages, these are functions of both distance and time. So the current at any time  $T$  at a distance  $Z$  will be different from the current at the other end of the element that is at a distance of  $Z + \Delta Z$ . Similarly the voltage  $V(z,t)$  and  $V(z + \Delta z, t)$  will be different. With this representation we can use the circuit theorems to model the transmission line.

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### Lumped Element circuit Model of Transmission Line

- $R, L, G,$  and  $C$  are per-unit-length quantities
- The series inductance  $L$  (in H/m) represents the total self-inductance of the two conductors
- The shunt capacitance  $C$  (in F/m) is due to the close proximity of the two conductors
- The series resistance  $R$  (in  $\Omega/m$ ) is due to the finite conductivity of the individual conductors
- The shunt conductance  $G$  (S/m) represents dielectric loss in the material between the two conductors.

As already mentioned  $R, L, G, C$  are per unit length quantities, the series inductance  $L$  which is in Henry per metre represents the total self-inductance of the two conductors. Similarly the shunt capacitance  $C$  in Farad per metre is due to the close proximity of the two conductors. The series resistance  $R$  in ohm per metre is due to the finite conductivity of the individual conductors. It may be noted that  $R$  accounts for the loss of power in both the conductors. The shunt conductance  $G$  in Siemens per metre represents the dielectric loss in the material between two conductors.

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### Microwave Transmission Lines

- Transmission line refers to a structure used to guide the flow of energy from one point to the other
- A uniform transmission line is defined as one whose dimensions and electrical properties are identical to planes transverse to the direction of wave propagation
- The main features desired in transmission lines are:
  - Single mode propagation over a broad band of frequencies
  - Signal attenuation should be very small
- A wide variety of transmission line structures have been developed for the microwave band of frequencies.

This quantities  $R, L, G$ , and  $C$  can be computed. This can be determined for simple line geometries through field analysis of transmission lines.

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### Lumped element circuit model of transmission line

- The transmission line parameters  $R, L, G$  &  $C$  can be determined for simple line geometries through field analysis of transmission lines
- For a coaxial transmission line of inner radius  $a$  and outer radius  $b$

$$L = \frac{\mu}{2\pi} \ln \frac{b}{a} \quad C = \frac{2\pi\epsilon'}{\ln \frac{b}{a}} \quad R = \frac{R_s}{2\pi} \left( \frac{1}{a} + \frac{1}{b} \right) \quad G = \frac{2\pi\omega\epsilon''}{\ln \frac{b}{a}}$$

$$\epsilon = \epsilon' - j\epsilon'' = \epsilon'(1 - j\tan\delta)$$

$R_s$  is the surface resistance of the conductor

For example if we take a coaxial transmission line, having an inner radius  $a$  and outer radius  $b$ , then the parameter  $L$  is given by  $L = \frac{\mu}{2\pi} \ln \frac{b}{a}$ , capacitance  $C$  is  $C = \frac{2\pi\epsilon'}{\ln \frac{b}{a}}$ ,  $R$  is  $R = \frac{R_s}{2\pi} \left( \frac{1}{a} + \frac{1}{b} \right)$  and  $G$  is  $G = \frac{2\pi\omega\epsilon''}{\ln \frac{b}{a}}$ . Here  $\omega$  which is  $2\pi f$  represents the angular frequency,  $\mu$  is the permeability and for non-magnetic material  $\mu_r$  will be 1, so  $\mu$  will be equal to  $\mu_0$ .  $\epsilon$  represents the complex permittivity and the imaginary part of this is related to  $\tan\delta$  and that



accounts for the power loss in the substrate, power loss in the dielectric,  $R_s$  is the surface resistance of the conductor. So once these parameters are found out, we can use these values in the transmission line, lumped parameter model and a distributed parameter model for the transmission line can be developed.