

**Microwave Engineering**  
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**Lecture 18 – Directional Couplers**

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$$C = 10 \log \frac{P_1}{P_3}$$

$$D = 10 \log \frac{P_3}{P_4}$$

$$I = 10 \log \frac{P_1}{P_4}$$

$$L = 10 \log \frac{P_1}{P_2}$$

**Directional Couplers**

A directional coupler is a 4-port device.

Power incident at port 1 is coupled to port 2 and port 3. In an ideal directional coupler, the incident power is not coupled to port 4.

Coupling, directivity, isolation and insertion loss in decibels are given by

$$C = 10 \log \frac{P_1}{P_3}$$

$$D = 10 \log \frac{P_3}{P_4}$$

$$I = 10 \log \frac{P_1}{P_4}$$

$$L = 10 \log \frac{P_1}{P_2}$$

Let us now consider another topic, the directional couplers. So a directional coupler is a 4-port device. So we can see that a directional coupler has an input port, a through port, a coupled port, and an isolated port. The power incident in port 1 is coupled to port 2 and port 3. In an ideal directional coupler the incident power is not coupled to port 4. And therefore this port is called isolated port. Power gets divided between port 2 and port 3. Now, the parameters which are defined for a directional coupler are coupling, directivity, isolation and insertion loss. And usually they are expressed in dB or decibels. And we can write coupling C is equal to 10 Log, this is log base 10  $P_1$  by  $P_3$ .

That means we take the ratio of the input power and coupled power input 3, and when it is expressed in dB, we get C is equal to 10 Log  $P_1$  by  $P_3$ . Similarly, directivity gives the ratio of power between port 3 and 4. Isolation is between Port 1 and 4. And I is 10 log  $P_1$  by  $P_4$ . The insertion loss or L it is 10 log  $P_1$  by  $P_2$ . So, this is one symbol which is used to represent

directional coupler. Another symbol which is also commonly used in representing directional coupler is shown here.

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$$[S] = \begin{bmatrix} 0 & S_{12} & S_{13} & S_{14} \\ S_{12} & 0 & S_{23} & S_{24} \\ S_{13} & S_{23} & 0 & S_{34} \\ S_{14} & S_{24} & S_{34} & 0 \end{bmatrix}$$

For an ideal lossless directional coupler, we have

$$S_{23} = S_{14} = 0$$

Let  $S_{12} = S_{34} = \alpha$ ,  $S_{13} = \beta e^{j\theta}$  and  $S_{24} = \beta e^{j\phi}$ , where  $\alpha$  and  $\beta$  are real quantities and  $\theta$  and  $\phi$  are phase constants.

Taking dot product of rows 2 and 3 we get

$$S_{12}^* S_{13} + S_{24}^* S_{34} = 0 \\ \Rightarrow \theta + \phi = \pi \pm 2n\pi$$

Hence, there can be two possible cases by ignoring  $\pm 2n\pi$  factor.

### Directional Couplers

The scattering matrix of a reciprocal four port network matched at all ports is given by

$$[S] = \begin{bmatrix} 0 & S_{12} & S_{13} & S_{14} \\ S_{12} & 0 & S_{23} & S_{24} \\ S_{13} & S_{23} & 0 & S_{34} \\ S_{14} & S_{24} & S_{34} & 0 \end{bmatrix}$$

For an ideal lossless directional coupler, we have

$$S_{23} = S_{14} = 0$$

By proper choice of phase references, let  $S_{12} = S_{34} = \alpha$ ,  $S_{13} = \beta e^{j\theta}$  and  $S_{24} = \beta e^{j\phi}$ , where  $\alpha$  and  $\beta$  are real quantities and  $\theta$  and  $\phi$  are phase constants.

Taking dot product of rows 2 and 3 we get

$$S_{12}^* S_{13} + S_{24}^* S_{34} = 0 \\ \Rightarrow \theta + \phi = \pi \pm 2n\pi$$

Hence, there can be two possible cases by ignoring  $\pm 2n\pi$  factor.

The scattering parameter of a reciprocal four-port network matched at all ports, it is given by S equal to the diagonal elements are 0, because this four-port network is matched at all 4 ports. And since it is reciprocal, so we have  $S_{21}$  equal to  $S_{12}$ ,  $S_{31}$  equal to  $S_{13}$ , and so on.

Now, when the directional coupler is ideal and it is lossless, we have port 1 and port 4 and port 2 and port 3. They are completely isolated, and therefore  $S_{23}$ ,  $S_{14}$  is equal to 0. Now, what we can do by proper choice of phase references, let us set  $S_{12}$  and  $S_{34}$  to be a real quantity alpha. Alpha is less than 1. So this  $S_{12}$  and  $S_{34}$  set equal to alpha. And  $S_{13}$  equal to beta e to the power j theta and  $S_{24}$  is magnitude is same as  $S_{13}$ , but it is a different phase. So  $S_{24}$  is beta e to the power j phi. And alpha and beta are real quantities. Both less than 1 and theta and phi these are phases associated with these S parameters and defined with respect to the terminal planes. Now

if you take dot product of row 2 and row 3 then what do we get?  $S_{12}$  conjugate  $S_{13}$  plus  $S_{24}$  conjugate  $S_{34}$  is equal to 0.

We get the same from columns 2 and 3 as well. And if we now substitute these values, so  $S_{12}$  conjugate will be alpha,  $S_{13}$  will be beta e to the power j theta. So this time will be alpha beta e to the power j theta.  $S_{24}$  will be beta e to the power minus j phi, and  $S_{34}$  is alpha. So it is alpha-beta e to the power minus j phi, and therefore we now get e to the power J theta will be equal to minus e to the power minus j phi. So this minus can be written as e to the power j phi and therefore we will get theta equal to Pi minus phi. And therefore theta plus phi will be equal to Pi and in fact this relationship will be true for theta plus phi is equal to pi plus minus 2n pi. And what we do, we ignore this factor plus minus 2n pi. We only consider theta plus phi is equal to pi.

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Let  $S_{12} = S_{34} = \alpha$ ,  $S_{13} = \beta e^{j\theta}$  and  $S_{24} = \beta e^{j\phi}$ , where  $\alpha$  and  $\beta$  are real quantities and  $\theta$  and  $\phi$  are phase constants.

Taking dot product of rows 2 and 3 we get

$$S_{12}^* S_{13} + S_{24}^* S_{34} = 0$$

$$\Rightarrow \theta + \phi = \pi \pm 2n\pi$$

Hence, there can be two possible cases by ignoring  $\pm 2n\pi$  factor.

Case II:  $\theta = 0$  and  $\phi = \pi$

In this case, the coupler will become an asymmetric coupler.

$$\therefore [S] = \begin{bmatrix} 0 & \alpha & \beta & 0 \\ \alpha & 0 & 0 & -\beta \\ \beta & 0 & 0 & \alpha \\ 0 & -\beta & \alpha & 0 \end{bmatrix}$$

Note:  $\alpha$  and  $\beta$  are dependent on each other given by the relation

$$\alpha^2 + \beta^2 = 1$$

### Directional Couplers

Case I:  $\theta = \phi = \frac{\pi}{2}$

In this case the phase constants will be equal and the coupler will become a symmetric coupler.

$$\therefore [S] = \begin{bmatrix} 0 & \alpha & j\beta & 0 \\ \alpha & 0 & 0 & j\beta \\ j\beta & 0 & 0 & \alpha \\ 0 & j\beta & \alpha & 0 \end{bmatrix}$$

Case II:  $\theta = 0$  and  $\phi = \pi$

In this case, the coupler will become an asymmetric coupler.

$$\therefore [S] = \begin{bmatrix} 0 & \alpha & \beta & 0 \\ \alpha & 0 & 0 & -\beta \\ \beta & 0 & 0 & \alpha \\ 0 & -\beta & \alpha & 0 \end{bmatrix}$$

Note:  $\alpha$  and  $\beta$  are dependent on each other given by the relation

$$\alpha^2 + \beta^2 = 1$$

Now we consider two cases. Case 1: When theta equal to phi is equal to Pi by 2. In that case, the phase constants are equal, and the coupler will be a symmetric coupler and for which the S parameters will be given by  $0 \alpha j \beta 0$ ,  $\alpha 0 0 j \beta$ ,  $j \beta 0 0 \alpha$  and  $0 j \beta \alpha 0$ .

Another case, when theta is equal to 0 and phi is equal to pi, this case it is an asymmetric coupler. And we can see that these two betas  $S_{13}$  and  $S_{31}$  are equal to beta. But as  $S_{24}$  and  $S_{42}$  are minus beta and Alpha and Beta these are dependent on each other. And it is given by alpha square plus beta square is equal to 1. We take any column. Then if you multiply with the complex conjugate of the corresponding elements and add them then we get alpha square plus beta square equal to 1. In both cases this relationship is valid.

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$$C = 10 \log \frac{P_1}{P_3} = -20 \log \beta$$

$$D = 10 \log \frac{P_3}{P_4} = -20 \log \frac{\beta}{|S_{14}|}$$

$$I = 10 \log \frac{P_1}{P_4} = -20 \log |S_{14}|$$

$$L = 10 \log \frac{P_1}{P_2} = -20 \log |S_{12}|$$

**Directional Couplers**

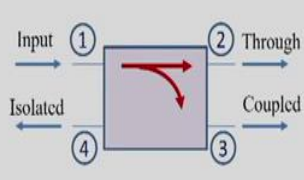
Therefore, the parameters of a directional coupler in dB can be rewritten as:

$$C = 10 \log \frac{P_1}{P_3} = -20 \log \beta$$

$$D = 10 \log \frac{P_3}{P_4} = -20 \log \frac{\beta}{|S_{14}|}$$

$$I = 10 \log \frac{P_1}{P_4} = -20 \log |S_{14}|$$

$$L = 10 \log \frac{P_1}{P_2} = -20 \log |S_{12}|$$



$|S_{13}|^2 = \beta^2$

$|S_{12}|^2 = \alpha^2 = 1 - \beta^2$

Further,  $I = D + C$  dB

So we have a magnitude of  $S_{13}$  square is equal to beta square, and then  $S_{12}$  square is alpha square and that will be equal to 1 minus beta square. And we can write the parameters of the directional coupler in dBs. Now, C becomes the coupling,  $10 \log P_1$  by  $P_3$ , and  $P_3$  by  $P_1$  is mod  $S_{13}$  square, which is beta square. So once we substitute here 1 by beta square we get C is equal to minus 20 log beta.

Similarly, D the directivity it is  $10 \log P_3 \text{ by } P_4$ , and this can be this  $P_3 \text{ by } P_4$  can be written as  $P_3 \text{ by } P_1$  and  $P_1 \text{ by } P_4$ . So  $P_3 \text{ by } P_4$ ,  $P_3 \text{ by } P_1$  can be written as  $1 \text{ by } \beta^2$ , and similarly  $P_1 \text{ by } P_4$  can be written as  $1 \text{ by } \text{mod of } S_{14}$  square. And therefore D can be written as  $\text{minus } 20 \log \beta \text{ by } \text{mod of } S_{14}$ . Isolation I we can write directly  $10 \log P_1 \text{ by } P_4$ , and this will become  $\text{minus } 20 \log \text{mod } S_{14}$  and insertion loss  $10 \log P_1 \text{ by } P_2$ . This is  $\text{minus } 20 \log \text{mod } S_{12}$ . And from here we find that  $S_{12}$  modulus is essentially  $\alpha$  or under root  $1 \text{ minus } \beta^2$ . We further note that if we add C and D then we get  $10 \log P_1 \text{ by } P_3$  into  $P_3 \text{ by } P_4$ . So  $P_3$  terms will get canceled and we will be left with  $10 \log P_1 \text{ by } P_4$ , which is I, and therefore I is equal to D plus C in dB. So we have defined different parameters of a directional coupler.

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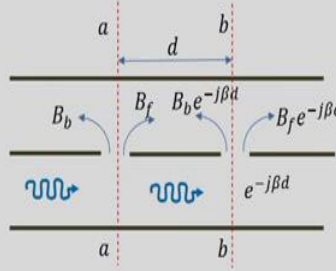
### Waveguide Couplers: Two Hole Couplers

It consists of two rectangular waveguides coupled by two identical apertures on the common broad wall.

The identical apertures are separated by a quarter guide wavelength  $\frac{\lambda_g}{4}$ .

Fields with different amplitudes are radiated by the aperture in forward and reverse direction.

With a wave of unit amplitude incident at port 1, let  $B_f$  and  $B_b$  be the coupled field into the second guide in forward and reverse direction, respectively.



$B_b$  and  $B_f$  are also known as aperture coupling coefficients.

Let us turn our attention to waveguide couplers. Waveguide couplers appear in various forms. Common ones are Bethe-hole coupler, multi-hole coupler. The coupling can be made between two waveguide sections. Either the coupling between two waveguide sections joined either on the broad wall or at the narrow wall, can be made by drilling suitable aperture on these common walls. For illustration purposes, we will consider here a two-hole coupler. So in a two-hole coupler as we can see, we have two waveguides. They are stacked one over the other and therefore we have a common broad wall for both the waveguide, and we have holes made on this common wall. So it consists of two rectangular waveguides coupled by two identical apertures on the common broad wall.

The identical apertures are separated by a distance, which is a quarter of the guide wavelength that is  $\lambda_g \text{ by } 4$ . Fields with different amplitudes are radiated by the aperture in forward and reverse direction. So, when the signal will incident on this waveguide, a part of the power

will get coupled to the other waveguide. And this coupled power will travel both in forward as well as in the backward direction. Now, this coupling or the amount of field that is coupled in the forward and backward direction are different. Now let us consider a wave with unit amplitude, which is incident at port 1. So this is port 1. And let  $B_b$  and  $B_f$  with the coupled field into the second waveguide. Now  $B_f$  is in the forward direction. And  $B_b$  is in the reverse direction. Now this  $B_b$  and  $B_f$ , these are known as aperture coupling coefficients.

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### Two Hole Couplers

For a small fraction of incident power coupled by the first aperture, the amplitude of the incident wave at the second aperture is essentially unity.

The phase of the field coupled by the second aperture is  $-\beta d$  relative to that coupled by the first aperture due to the path length difference.

The total forward wave at the plane **bb** is  $2B_f e^{-j\beta d}$  and the total backward wave at plane **aa** is given by  $B_b(1 + e^{-2j\beta d})$ .

The diagram shows two parallel waveguides. The top waveguide has an incident wave from the left. Two vertical dashed lines represent apertures at positions  $a$  and  $b$ , separated by a distance  $d$ . At aperture  $a$ , a wave is coupled into the bottom waveguide with amplitude  $B_b$  (traveling left) and  $B_f$  (traveling right). At aperture  $b$ , a wave is coupled into the top waveguide with amplitude  $B_b e^{-j\beta d}$  (traveling left) and  $B_f e^{-j\beta d}$  (traveling right). The total forward wave at plane  $bb$  is  $2B_f e^{-j\beta d}$  and the total backward wave at plane  $aa$  is  $B_b(1 + e^{-2j\beta d})$ .

So we assume that this first aperture it couples only a very small fraction of the incident power. So what happens, essentially the amplitude of the incident wave at this second aperture when the wave reaches the second aperture it remains essentially unity. Now the wave which actually travels over this distance  $D$ , now we can see that if  $B_f$  representing the coupling from the first aperture, in the second aperture because of this distance  $D$  the amount of field that will be coupled is  $B_f$  into  $e$  to the power minus  $j$  beta  $D$ . Because the wave was one here, here it becomes  $e$  to power minus  $j$  beta  $D$ .

Now this forward wave  $B_f$  also travels this distance  $D$  and appears in phase with the waves that is coupled from the second slot. So what we observed is that after in this plane  $B_b$ , we have the waves coupled waves from the first slot and the second slot. They appear in phase, and they are given by  $B_f$ ,  $e$  to the power minus  $j$  beta  $D$ . Whereas regarding the reverse wave we find that here it was  $B_b$ . Now here from the second slot it will be  $B_b e$  to the power minus  $j$  beta  $D$ ., And then this wave will travel again.

Another length  $D$ , so will have this second wave having a phase shift of  $2$  beta  $D$ . And therefore, the total forward wave at plane  $Bb$  is  $B_f e$  to the power minus  $j$  beta  $D$  from the second slot.

Again  $B_f e$  to the power minus  $j \beta D$  from the first slot. And therefore it is  $2 B_f e$  to the power minus  $j \beta D$ . And at the plane Aa the total backward wave will be given by  $B_b$  plus  $B_b e$  to the power minus  $j 2 \beta D$  because we get this backward traveling wave which is given by  $B_b e$  to the power minus  $j \beta D$  is will suffer another phase shift because of this length  $D$ .

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The coupling is given by

$$C = -20 \log 2|B_f|$$

And the directivity is given by

$$\begin{aligned} D &= 20 \log \frac{2|B_f|}{|B_b| |1 + e^{-2j\beta d}|} \\ &= 20 \log \frac{|B_f|}{|B_b| |\cos \beta d|} \\ &= 20 \log \frac{|B_f|}{|B_b|} + 20 \log |\sec \beta d| \end{aligned}$$

### Two Hole Couplers

The forward waves will always add in phase and the backward waves will always add out of phase, whenever  $2\beta d = n\pi$ ,  $n = 1, 2, 3 \dots$

The coupling is given by

$$C = -20 \log 2|B_f|$$

And the directivity is given by

$$\begin{aligned} D &= 20 \log \frac{2|B_f|}{|B_b| |1 + e^{-2j\beta d}|} \\ &= 20 \log \frac{|B_f|}{|B_b| |\cos \beta d|} \\ &= 20 \log \frac{|B_f|}{|B_b|} + 20 \log |\sec \beta d| \end{aligned}$$

Note: Coupling  $C$  is not frequency sensitive as  $B_b$  and  $B_f$  are slowly varying functions of frequency. However, directivity  $D$  is frequency sensitive due to the  $\sec \beta d$  factor.

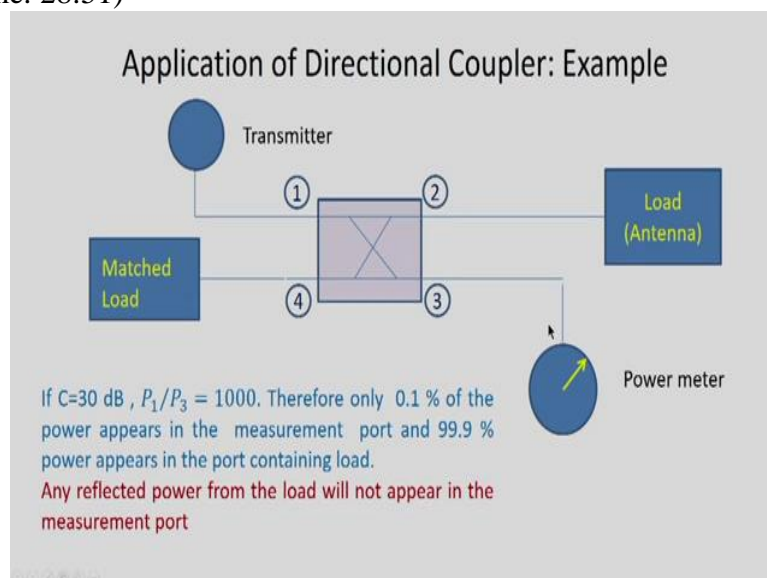
So we find the forward waves will always add in-phase and the backward waves will always add out of phase whenever  $2 \beta D$  equal to  $n \pi$  and  $n$  is equal to 1 to 4. So if we have here,  $B_b$  plus  $B_b e$  to the power minus  $j 2 \beta D$ , and suppose we have  $2 \beta D$  is equal to  $\pi$  for  $n$  equal to 1, then we have  $2 \pi$  by  $\lambda D$  is equal to  $\pi$ . And therefore  $D$  by  $\lambda$  is equal to one-fourth. So if we take this  $D$  to be equal to  $\lambda$  by 4 or rather  $\lambda$  by 4 where  $\lambda D$  is the guide wavelength, then the backward waves can be canceled at this plane Aa. And we will be left with only the coupled signal at this plane Bb. In general, we define coupling  $C$  to be equal to minus  $20 \log 2$  magnitude of  $B_f$  and directivity  $D$  it is given by  $20 \log 2$  mod  $B_f$  divided by mod  $B_b$  mod of 1 plus  $e$  to the power minus  $2 j \beta D$ .

And this can be written as this term  $1 + e^{-2j\beta D}$  to the power minus  $2j\beta D$  can be written as  $\cos \beta D$ , therefore  $D$  becomes  $20 \log \frac{\text{mod } B_f}{\text{mod } B_b \text{ mod } \cos \beta D}$ . And this can be expanded as  $20 \log \frac{\text{mod } B_f}{\text{mod } B_b} + 20 \log \text{mod } \sec \beta D$ . We should note that the coupling  $C$  here is not frequency sensitive as  $B_b$  and  $B_f$  are slowly varying functions of frequency.

However, if we look at the directivity term, the directivity  $D$  is frequency sensitive because it has this factor  $\sec \beta D$ . So we can see that if we want to design a 2-hole coupler we need to know these two parameters, the coupling coefficients  $B_f$  and  $B_b$ . And once we have these values we can design a directional coupler of the specified coupling and directivity. Now, the values of these coupling coefficients depend upon the radius of these apertures, and these coupling coefficients for this type of waveguide geometrics can be estimated analytically. However, we will not consider this here. We assume that these coupling coefficients are available with us while designing this type of 2-hole directional coupler.

This concept what we have discussed can be extended to multi-hole couplers because if you notice here the parameter  $D$  is very very frequency sensitive. And therefore, this type of 2-hole coupler will have a relatively narrow bandwidth. And if we go for broadband if we want broadband operation, we can go for design of multi-hole couplers and where we can actually design coupler for a specified bandwidth by controlling the coupling coefficients at different aperture locations.

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Directional couplers have a lot of applications. We discussed here one example of such an application. So we are employing this directional coupler for monitoring the power that is



supplied to this antenna element, which is the load by this transmitter. Normally, this transmitter will send large amount of power to the load, and by employing this directional coupler here, we sample a small fraction of the power in this port 3 where we perform the measurement by connecting a power meter, and we put a matched load in the port 4. For example, if we have C is equal to 30 dB then  $P_1$  by  $P_3$  is 1000 that means  $P_3$  is 1000 of  $P_1$  which is actually 0.1 percent.

So this measurement port will get 0.1 percent of the power, whereas the load will get 99.9 percent of the total power. We are assuming port 4 is isolated perfectly isolated and does not get any power from the transmitter. So by monitoring the level of power here in this port we can know how much power is being delivered to the load.

So we are essentially measuring a very small factor of power to know a large amount of power that is being delivered to the load. It should be noted that because of some load mismatch if any power is reflected from the load then it will appear at port 2. Now port 2 and 3 they are isolated; therefore this reflected power will not appear in the measurement port and will affect the measurement. This reflected power will get divided between port 1 and 4, and in port 1 it may be absorbed by the impedance of the transmitter, and whatever power goes into the port 4 it gets dissipated in the matched load connected here.

So this is how we can use a directional coupler in monitoring of power. So we have discussed different aspects of directional couplers. In the next lecture, we will consider another useful microwave device, a microwave filter.