

**Electromagnetic compatibility, EMC**  
**Prof. Rajeev Thottappillil**  
**KTH Royal Institute of Technology**  
**Module 5.6 Solution to EMC Problems**  
**Surge protection components and filters**

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The image displays two screenshots from a video lecture. The top screenshot is the title slide for the course 'Solution to EMC Problems' by Prof. Rajeev Thottappillil, Module 5.6. It features the KTH Royal Institute of Technology logo, the course title, and the presenter's name. A blue horizontal bar with a white circuit diagram is visible. The bottom screenshot shows a list of 'Surge Protection Components and filters' including Gas Discharge Tubes (Spark gaps), Varistors, diodes, and filters. A blue horizontal bar is visible at the bottom of this slide.

Solution to EMC problems, surge protection components and filters, module 5.6, so here we will describe gas discharge tubes, varistors, diodes and filters some very basic information will be covered for more details you can refer to other literature.

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**Surge Protection Components**

Devices can be protected against surge currents by

- blocking or limiting the surge currents by a large series impedance
- diverting the surge currents by a small shunt impedance
- a combination of the above two methods

The diagram shows a surge wave entering from the left, labeled 'Surge'. It travels through a series impedance  $Z_1$  (labeled 'Upstream'). After  $Z_1$ , the circuit splits into a shunt impedance  $Z_2$  and a 'Protected Port'. The surge continues to the right, labeled 'Downstream'. A red handwritten mark is present on the diagram. A presenter is visible in the bottom right corner of the slide.

So devices can be protected against surge currents either by blocking or limiting the surge currents by a large series impedance or by diverting the surge currents by a small surge impedance or one can use a combination of both methods, so while discussing the high-frequency behaviour of components we have seen already some components suitable for blocking or diverting, say for example if you have capacitance we have seen that capacitance can have very low impedance at high-frequency.

So what are the characteristics of a surge? A surge will have a characteristic in which the rise time of the wave is very high, so you have large frequency content in it, large frequency content will be diverted through the capacitance and if you connect an inductor in series it will block, it will produce a high impedance for this highly varying transient, so inductor can be a blocking device and capacitor can be a surge device, of course and filters you are using a combination of both but in addition to that there are some other devices also.

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**Characteristics of commonly used surge protectors**

<u>Metal Oxide Varistors</u>	<u>Spark gaps or gas discharge tubes</u>
<ul style="list-style-type: none"><li>• Clamping device</li><li>• Fast response (&lt;0.5 ns)</li><li>• Large energy absorption</li><li>• Can safely conduct large currents (few kA for several microsec.)</li><li>• Inexpensive</li><li>• Large parasitic capacitance (1 to 10 nF)</li></ul>	<ul style="list-style-type: none"><li>• Crowbar device</li><li>• Can conduct large currents (several kA)</li><li>• Low voltage in arc mode</li><li>• Sustained short circuit (follow current)</li><li>• Small parasitic capacitance (&lt;2pF)</li><li>• Requires large voltage to conduct (&gt;100 V)</li><li>• Relatively slow to conduct</li></ul>

The slide also features a circuit diagram of a varistor, a circuit diagram of a spark gap, and a graph showing a voltage surge being clamped by a varistor. A video inset shows a man in a plaid shirt speaking.

So there are metal oxide varistors, and it is a special type of material with special non-linear characteristics, inductance and capacitors are linear, are having linear characteristics, their frequency dependent their impedance but they are not dependent upon the magnitude of the current or voltage for their operation, so there are linear devices where metal oxide varistors and spark gaps are non-linear devices because their characteristics are affected by the amplitude of the surge.

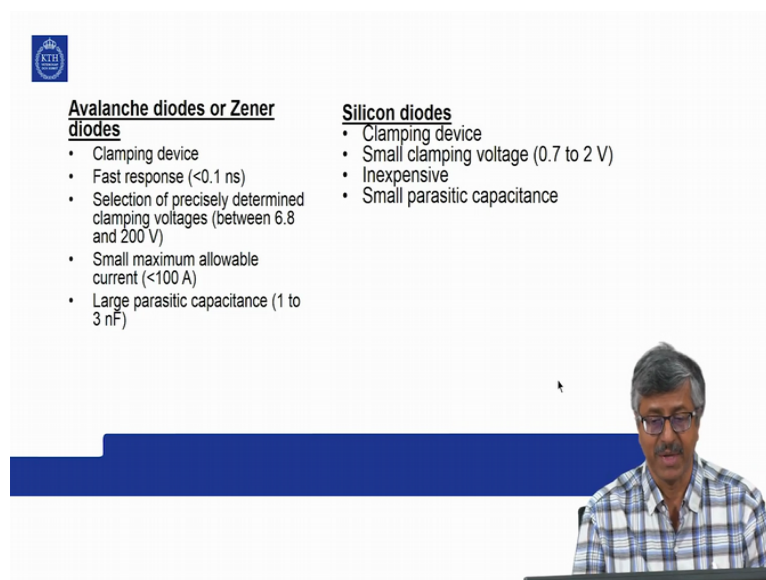
So metal oxide varistors we will describe what it is but some of the basic characteristics of those device are listed out here, so they are often called a clamping device because it clamps, so if you have a surge like this, let us say a clamping device will clamp the voltage to a certain level and we not allowed to increase about that value, now spark gaps or gas discharge tubes is more like a crowbar device, it is like putting a crowbar across two wires and kind of short-circuiting, so it means that when this is operating then suddenly the impulse comes to 0 level or to very low value then after that is only this you will be coming.


So here it will be this that is the residual value, so operation there are very different then this has got very fast response in metal oxide varistors less than 0.5 ns also it can respond and large energy absorption capacity is there, safely conduct large currents, you few kiloampere for several microsecond, it is not very expensive but due to the weight is constructed it has got large parasitic capacitance, offered it is in the form of some sort of a disk, so these large area, surface area like two electrons over here, so this will introduce large parasitic capacitance, so 1 to 10 nF.

Whereas spark gaps can conduct even larger currents, several kiloampere but it will have low voltage in the arc mode, so this is the arc mode and here the voltage is very low, so it means that even after the disappearance of the surge the current will be shunted out and that would serious strain on other equipments, sustained short-circuit that is follow current and small parasitic capacitance it is more like two metal electrodes in a tube, so you have a very less surface area and you have very small parasitic capacitance, however for this to breakdown you required a large voltage to conduct.

Whereas metal oxide varistors can be design even for very small voltages and this is relatively slow to conduct, so this are the differences between metal oxide varistors and gas discharge tubes.

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 **Avalanche diodes or Zener diodes**

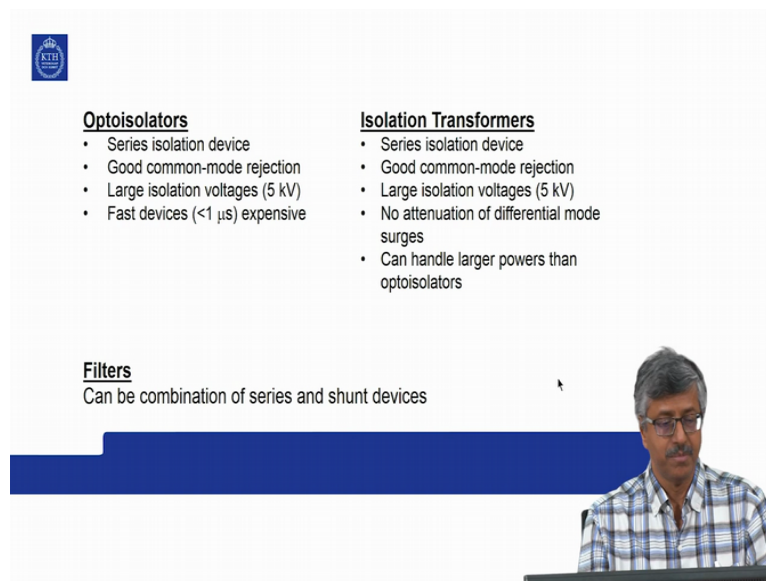
- Clamping device
- Fast response (<0.1 ns)
- Selection of precisely determined clamping voltages (between 6.8 and 200 V)
- Small maximum allowable current (<100 A)
- Large parasitic capacitance (1 to 3 nF)

**Silicon diodes**

- Clamping device
- Small clamping voltage (0.7 to 2 V)
- Inexpensive
- Small parasitic capacitance

Another devices basically a diode avalanche diodes or zener diodes, so they also are clamping device like metal oxide varistors but much less in power and voltage rating and the parasitic capacitance is large like varistors, silicon diodes is another one clamping device again very low, clamping voltage you can get, it is inexpensive and small parasitic capacitance.

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The slide features the NTH logo in the top left corner. It is divided into three sections: 'Optoisolators', 'Isolation Transformers', and 'Filters'. The 'Optoisolators' section lists: Series isolation device, Good common-mode rejection, Large isolation voltages (5 kV), and Fast devices (<math>< 1 \mu\text{s}</math>) expensive. The 'Isolation Transformers' section lists: Series isolation device, Good common-mode rejection, Large isolation voltages (5 kV), No attenuation of differential mode surges, and Can handle larger powers than optoisolators. The 'Filters' section states: Can be combination of series and shunt devices. A blue bar is present at the bottom of the slide content. A video inset in the bottom right shows a man with glasses and a plaid shirt.

**Optoisolators**

- Series isolation device
- Good common-mode rejection
- Large isolation voltages (5 kV)
- Fast devices (<math>< 1 \mu\text{s}</math>) expensive

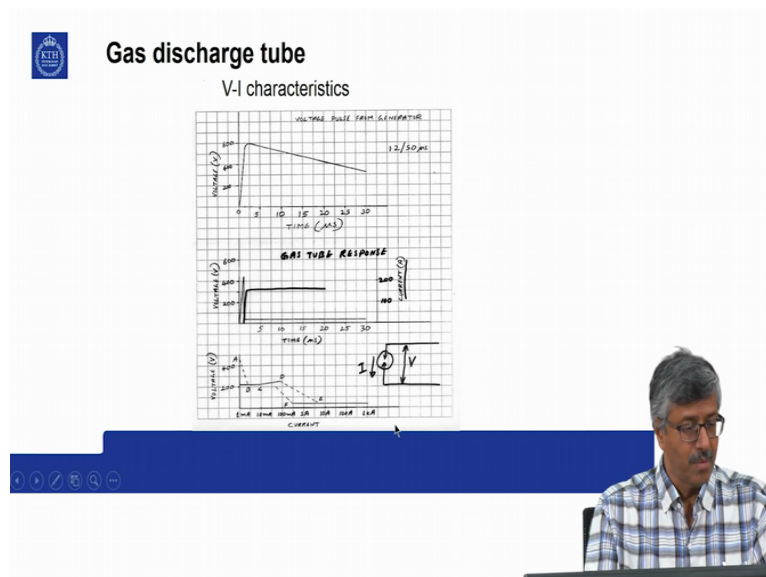
**Isolation Transformers**

- Series isolation device
- Good common-mode rejection
- Large isolation voltages (5 kV)
- No attenuation of differential mode surges
- Can handle larger powers than optoisolators

**Filters**  
Can be combination of series and shunt devices

In addition you have optoisolators for, you know for some signal transmissions and all where optoisolators can be used and isolation transformers are generally using high-voltage labs and other EMC measurements, so this series isolation devices, so these are not really surge protectors there are more isolation devices rather, filters can be combination of series or shunt devices and you can even introduce small linear devices to a filter.

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The slide features the NTH logo in the top left corner. The title is 'Gas discharge tube' with the subtitle 'V-I characteristics'. It contains three graphs: 1. A graph of Voltage (V) vs. Time (ms) showing a rise time of 1.2/50 μs. 2. A graph of Gas Tube Response showing a sharp rise in current followed by a steady state. 3. A graph of Voltage (V) vs. Current (mA) showing a negative slope. A small circuit diagram shows a gas discharge tube in series with a resistor and a voltage source. A blue bar is present at the bottom of the slide content. A video inset in the bottom right shows a man with glasses and a plaid shirt.

**Gas discharge tube**  
V-I characteristics

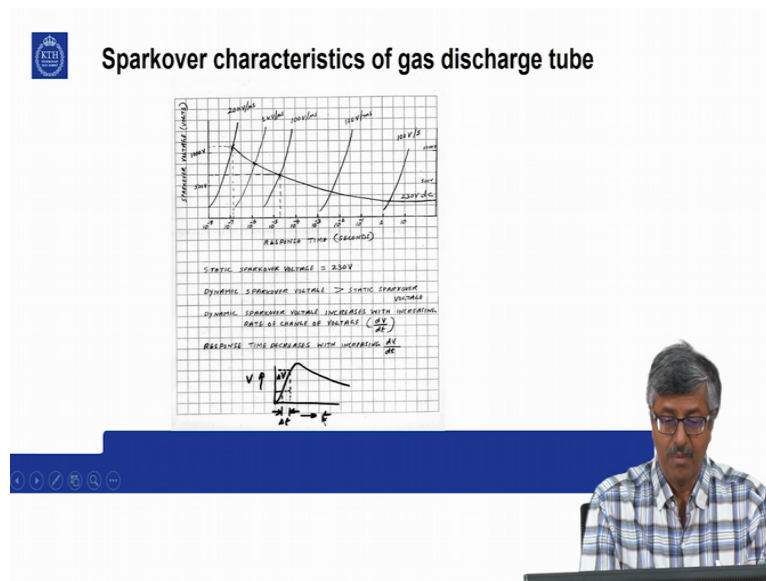
Handwritten notes on the slide include:  
- "voltage build time 1.2/50 μs"  
- "GAS TUBE RESPONSE"  
- "VOLTAGE (V)" and "CURRENT (mA)" labels for the graphs.  
- A small circuit diagram showing a gas discharge tube in series with a resistor and a voltage source.

Let us look at the V-I characteristics of gas discharge tubes, so the picture here shows that, so this is the basic surge let us take the 1.2 μs rise time by 50 μs for time come, this the voltage and this is the time, now is the voltage reaches certain level suddenly gas discharge tube will conduct, it goes down and reach the breakdown or arc sustaining value, so this is the arc

voltage and at this point a current will be initiated and that current rises up and it is shown over here.

So this current is quite large, then for the gas discharge tube to turn of either this current has two below certain threshold value for it to goes up, so this is the voltage current characteristics of a gas discharge tube, what is the here and it is a representation of the same effect as per some other choice of axis that is voltage and current.

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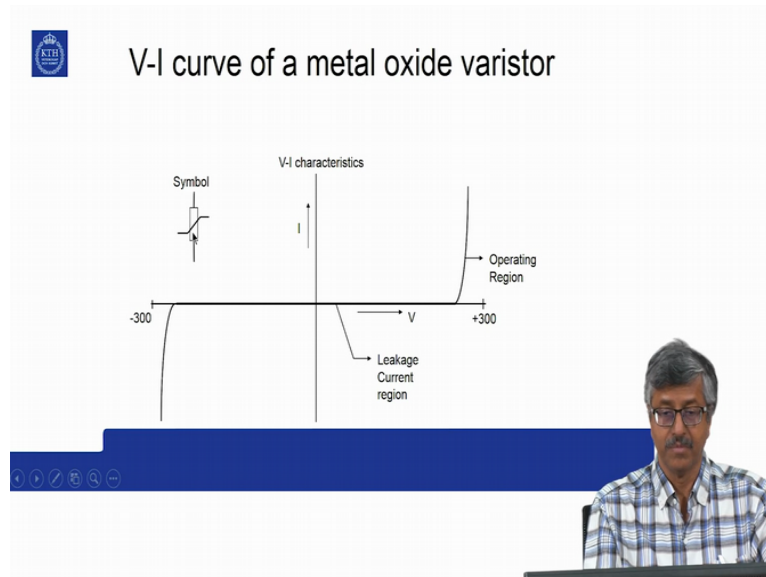


Sparkover characteristics of gas discharge tube, the time of operation were gas discharge tube varies with the rate of rise of the transient, so it is not constant time required for the operation, similarly the level at which the tube conducts is also different that depends upon the rate of rise, so gas discharge tube are often ceramic and metallic tubes in the presence of inner gas or summer gas like that, so this is the response time in seconds, so this is 10 seconds and this is 10 power -8 seconds and this is it of rise of the voltage and sparkover characteristic is like that.

So far near DC sparkover you need a long time for gas discharge tube is subjected to this DC voltage, so if it is a slowly rising waveform, it will take less time but it is at higher voltage, similarly 100 moles per mile second only a fraction of a microsecond is required as response time but a response happens at much higher voltage, similarly it goes so starting sparkover voltage is 230 V and dynamic sparkover voltage is greater than statics sparkover voltage.

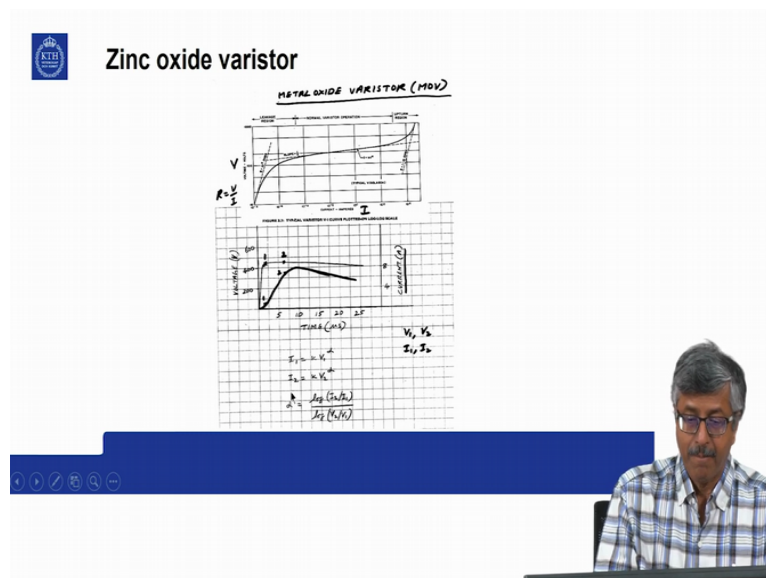
Dynamic sparkover voltage increases with increasing rate of change of voltage that is DV by DT, the response time decreases with increasing DV by DT, so this are the references you can get from this picture.

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V-I curve of a metal oxide varistor, so this is the no linear, this is the operating region and some leakage current region and this the symbol of the varistor.

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So we can have a current versus voltage waveform for this also, so in time domain you can represent it like this, say for example this is suppose your surge is going up like this then varistor is operating, so it is clamping at this value and this is the current through the varistor,



so you can take two operating points, 1 and 2, so  $I_1$  is  $KV_1$  to the power of  $\alpha$  and  $I_2$  is  $KV_2$  to the power of  $\alpha$ , that  $\alpha$  is  $\log$  of  $I_2$  by  $I_1$  divided by  $\log$  of  $V_2$  by  $V_1$ .

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**Model of Zinc-oxide varistor**

**Simple MOV model**

Due to inductance of leads  
Due to bulk-capacitance of varistor material

Clamping of low voltage MOV

The slide features a circuit diagram of a simple MOV model with components labeled  $L$ ,  $C$ ,  $R$ ,  $R_{leak}$ , and  $R$ . A graph shows voltage (0 to 70) versus time ( $0$  to  $7 \times 10^{-6}$  s). A blue curve shows the clamping voltage, which starts at approximately 70V and decreases to about 30V over the time period. A red curve shows a theoretical clamping voltage that would be higher. A photograph of a man in a plaid shirt is visible in the bottom right corner of the slide.

Metal oxide varistors can be modelled, so suppose this is the ideal no linear part of the varistor due to the leads of the varistor, you have inductance and bulk capacitance also it was varistor and that is this bulk capacitance and bulk leakage resistance  $R_{leak}$ , so this is the clamping voltage, the actual voltage would have been like this, it would have been going like this and coming like that or something like this, so it is getting clamped introduced.

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**Compare varistor model with the HF model for a capacitor**

At high frequencies or with very fast rise-times varistors may behave like capacitors

The slide displays several types of capacitors: a cylindrical electrolytic capacitor, two blue disc capacitors, a row of orange axial lead capacitors, and a group of red surface-mount capacitors. It also shows two circuit diagrams: one for a varistor model with  $L$ ,  $C$ ,  $R$ , and  $R_{leak}$ , and another for a high-frequency capacitor model with  $L$ ,  $C$ , and  $R$ . A photograph of a man in a plaid shirt is visible in the bottom right corner of the slide.



Now the varistor model and the high-frequency model of a capacitor are quite similar even though there are some differences, so at high-frequencies with very fast rise times varistors may behave like capacitors also because of that, because this is not linear at memory where with large voltage to be impressed across it but during high-frequencies a lot of voltage drop can happen in the inductor itself.

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**Cascade protection**

Input transient: After stage 1: After stage 2: After stage 3

Why Inductances L1 and L2?  
 Separation of stages so that stage 1 (GDT) operate first followed by stage 2 (MOV) and then stage 3 (diode)!

Without L1 and L2, the full transient voltage is across all stages (parallel connection).  
 The subsequent stages, having lower turn-on voltages, are then triggered earlier than the stages before and get destroyed due to their low energy handling capability.

$V_{sp} = 24V_{dc}$

$L_1, L_2$  — inductors for coordinating the surge protectors

So we will show one example of that, now here cascade protection often you use several stages for protection so that at each stage you are reducing the transient from the previous stage, for example if this is your input transient you have a spark gap then after this stage 1, the current is the voltage transient is of this form, the clamping by spark gap when you have a MOV, so that is clamping the voltage then after that you have a divert clamping the voltage, so you get very low values over here, so in between you have inductance for coordinating the surge protectors.

So you require this because when a surge is coming you want first this to operate right, you are spark gap to operate, so that maximum energy is bypassed, if it failed to operate and the surge is coming directly onto this diode already equipment can happen, so that is the reason why to make sure that this is operating first, you have this inductance.

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**Filters**

Inductances and capacitances combined in series and parallel to form low-pass filters, i.e. attenuating high frequency signals.

- Can be also be combined to form high- and band-pass filters but this is not of interest here (for EMI protection).

Attenuates any left over low level (but very fast) noise from the later stages of protection.

$$\omega_{-3dB} = \frac{1}{\sqrt{RC}}$$

$$\omega_{-3dB} = \frac{1}{\sqrt{LC}}$$

Now filters as I said before often inductance and capacitors can be combined in series and parallel to form low pass filters that attenuate high-frequency signals, so filters can be used to attenuate any leftover low-level but very fast noise from the last stages of protection, so passive filters are frequency selective devices, so you can just remove higher frequencies from the remaining current after the surge stage and clean up the signal in the output, so an RC network is shown here as a filter and an LC network is shown here as a filter.

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**Filters can introduce insertion loss during normal operation**

If  $V_{Lwo}$  is the magnitude of load voltage without the filter and  $V_{Lw}$  is the load voltage with the filter inserted, then the insertion loss is defined as  $IL (dB) = 20 \log_{10} \left( \frac{V_{Lwo}}{V_{Lw}} \right)$

$$V_{Lwo} = \frac{R_L}{R_S + R_L} V_S$$

Voltage across load without filter


$$V_{Lw} = \frac{R_L}{R_S + Z_f + R_L} V_S$$

Voltage across load with filter  
where  $Z_f$  is the series impedance of the filter ( $Z_f = j\omega L$  in the case of simple low pass filter).

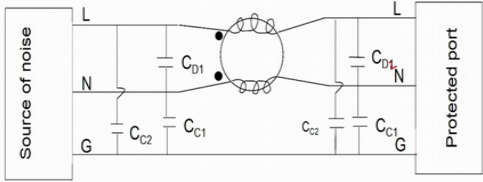
One drawback of filter is that it can introduce insertion loss even during the normal operation, for example if this is the magnitude of the load voltage without filter and  $V_{Lw}$  is the load voltage with filter inserted, then the insertion loss is defined as  $20 \log \frac{V_{Lwo}}{V_{Lw}}$  or by  $V_{Lw}$  that

is given by this and with the filter, with the impedance of  $Z_F$  the voltage across load with filter is given by this, so is the series impedance of the filter.

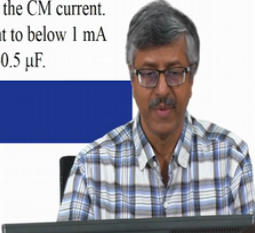
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 **Power supply filter - example**

Protection against both common-mode and differential-mode electromagnetic noise



The capacitors  $C_{D1}$ ,  $C_{D2}$  divert the DM noise currents and  $C_{C1}$ ,  $C_{C2}$  divert the CM current. Usually  $C_{C1} = C_{C2}$ , and is kept low (about 2 nF) to limit the leakage current to below 1 mA for safety reasons. Typical values for  $C_{D1}$  and  $C_{D2}$  are in the range of 0.1-0.5  $\mu\text{F}$ .

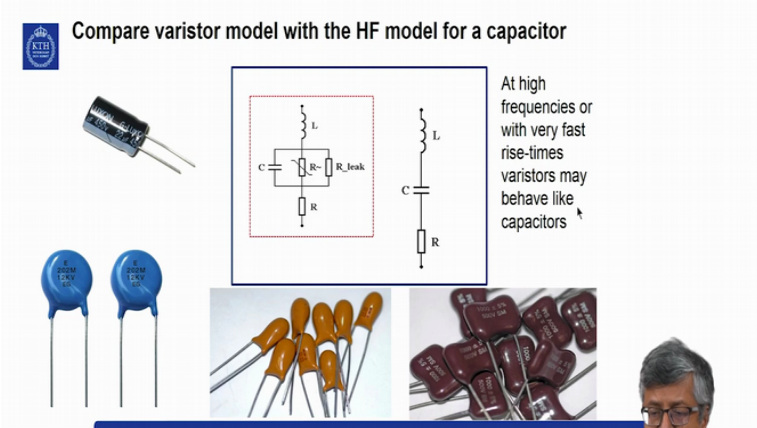


Another example of a filter is a power supply, this gives protection against both common mode and differential mode electromagnetic noise, so one example is shown here, so L line neutral and ground, so you have a choke over here, let us say this the protected port, you have capacitance across all the lines, now  $C_{D1}$  and  $C_{D2}$  and this is  $C_{D2}$  and  $C_{C1}$  and  $C_{C2}$ ,  $C_{C1}$  and  $C_{C2}$ , so this divert common mode,  $C_{D1}$  and  $C_{D2}$  divert the DM mode current that is differential mode.

Whereas the other one  $C_{C1}$  divert common mode currents and usually  $C_{C1}$  equal to  $C_{C2}$  and is kept very low about 2 nF to limit the leakage current to below 1 mA for safety reasons and typical values of  $C_{D1}$  and  $C_{D2}$  are in the range of 0.1-0.5  $\mu\text{F}$ , so this is only one example you can find several such examples in literature or at filter manufacturers website, so it would be interesting to look at them and see their designs.

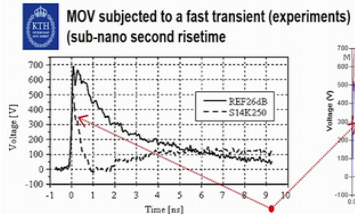
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**Compare varistor model with the HF model for a capacitor**

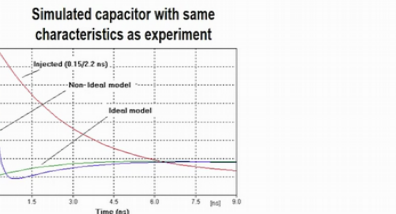


At high frequencies or with very fast rise-times varistors may behave like capacitors

**MOV subjected to a fast transient (experiments) (sub-nano second risetime)**



**Simulated capacitor with same characteristics as experiment**



The non-linear ZnO element of the varistor is not subjected to high enough voltage to "turn on" (turn to its highly conducting state) due to the large voltage drop across the parasitic inductance of the varistor leads. →

Thus, dominating non-ideal capacitor characteristics!

(Notice the difference between ideal and non-ideal capacitor time domain behavior!)

Now previously I mention here that at high-frequencies or with very fast transcends rise time varistors may behave like capacitors, so that example is shown here, this is MOV subjected to fast transient experiments, some nanosecond rise time and this is one experimental data and this is something simulated capacitor with same characteristics as experiment, the non-linear zinc oxide element of the varistor is not subjected to high enough voltage to turn on due to the large voltage drop across the parasitic inductance, so what it shows is that when varistor is connected and if you have very long leads and if it is subjected to extremely high, extremely fast rise time voltages.

Then the drop across this inductance, so this may be like a inductor, so the inductive drop under extremely fast rise times, will drop the voltage in such a way that is varistor will not be clamped, so you do not get the benefit of the varistor if you have very long leads, so that

effect is shown over here, so this is the non ideal model and this is the ideal model and this is the injected current, so that is why you have often have surface amount of varistors to eliminate this type of a problem, so that the full voltage is coming across the varistor elements and the full non-linear characteristics of varistor is playing into picture otherwise varistor may just act like a high-frequency capacitor nothing more than that, so that ends this part of the chapter.