

**A Basic Course in Number Theory**  
**Professor Shripad Garge**  
**Department of Mathematics**  
**Indian Institute of Technology, Bombay**  
**Lecture 54**  
**Continued Fraction Expansion for Real Number – II**

Welcome back, in the last lecture we proved that every real number  $\theta$  has the continued fraction expansion which we had constructed in a natural way the proof depended on four lemmas which we were proving from the last lemma being proved first, then the second last lemma up being proved next and so on.

(Refer Slide Time: 00:45)

**Theorem:** Each real  $\theta$  has the continued fraction expansion

$$\theta = [a_0; \underbrace{a_1}, \underbrace{a_2}, \dots].$$

$$a_0 = [0], \text{ if } a_0 \neq 0 \text{ then}$$

$$\theta = a_0 + \frac{1}{\theta_1} \text{ where } \theta_1 > 1$$

$$\text{and } a_1 = [\theta_1], \dots$$

So, this is the result that we prove that each real  $\theta$  has the continued fraction expansion  $a_0 a_1 a_2$ , where these were constructed in the last lecture, but let me just remind it for you. So, here we have that  $a_0$  is the integral part of  $\theta$ ,  $a_0$  is not equal to  $\theta$ , then  $\theta$  is  $a_0$  plus  $1$  upon  $\theta_1$ , where  $\theta_1$  is now bigger than  $1$  and we defined  $a_1$  to be the integral part of  $\theta_1$  and this is the way that we continued. So,  $a_0 a_1 a_2$  and so on gives us a continued fraction expansion for the real number  $\theta$  that we begin with. This theorem was proved using four lemmas which are here.

(Refer Slide Time: 01:54)

**Lemma 1:** The  $p_n$  and  $q_n$  satisfy the recursion

$$p_n = a_n p_{n-1} + p_{n-2}, \quad q_n = a_n q_{n-1} + q_{n-2}.$$

**Lemma 2:**  $p_n q_{n+1} - p_{n+1} q_n = (-1)^{n+1}$ .

**Lemma 3:**  $p_{2n}/q_{2n} \leq \theta \leq p_{2n+1}/q_{2n+1}$  for every  $n$ .

**Lemma 4:** The sequence  $q_n$  goes to infinity.



So, the fourth Lemma that the sequence  $q_n$  goes to infinity this was proved first using the recurrence relation that we have in lemma 1.

(Refer Slide Time: 02:05)

**Lemma 1:** The  $p_n$  and  $q_n$  satisfy the recursion

$$p_n = a_n p_{n-1} + p_{n-2}, \quad q_n = a_n q_{n-1} + q_{n-2}.$$

**Lemma 2:**  $p_n q_{n+1} - p_{n+1} q_n = (-1)^{n+1}$ .

**Lemma 3:**  $p_{2n}/q_{2n} \leq \theta \leq p_{2n+1}/q_{2n+1}$  for every  $n$ .



Then we prove lemma 3 which is that  $\theta$  is sandwiched between any two successive convergents with the even one being before  $\theta$  and the odd one being after  $\theta$ . So, I had said this in the following way that the convergents or the machine which produces the continued fraction expansion will look at your number and start from the integral part of that number, then

it realizes that it has to travel in the positive direction to reach the number theta, so it starts traveling but by the time it reaches the theta it does not is not able to apply the brakes.

So, it goes a bit further and that is where we get our first  $p_1$  upon  $q_1$  the first convergent the integral part that we had gotten that is the 0th convergent  $p_0$  upon  $q_0$  and then we get  $p_1$  upon  $q_1$  which is after theta, then the machine realizes that it should turn back, so it turns back and travels towards the negative side of the infinity and then it crosses theta once again and has to stop at some level that is  $p_2$  by  $q_2$  and then it again continuous traveling.

So at each level it comes closer and closer to theta but it keeps jumping towards each of the sides of theta. This is what we have proved in lemma 3 that theta is sandwiched between any two successive convergents, the odd one being after theta and the even one being before theta.

(Refer Slide Time: 03:41)

**Lemma 1:** The  $p_n$  and  $q_n$  satisfy the recursion

$$p_n = a_n p_{n-1} + p_{n-2}, \quad q_n = a_n q_{n-1} + q_{n-2}.$$

**Lemma 2:**  $p_n q_{n+1} - p_{n+1} q_n = (-1)^{n+1}.$

So, after that we should be proving this lemma, lemma 2 we had proved lemma 4 and lemma 3 in the last lecture and now we are going to prove lemma 2 and we are going to use this recurrence. So, the recurrence says that  $p_n$  and  $q_n$  are obtained from the previous two pairs  $p_{n-1}$   $q_{n-1}$   $p_{n-2}$   $q_{n-2}$  with the relation being given that the  $n$ th 1 is an times the  $n-1$ th one plus  $n-2$ th one that is the convergence that is the recurrence formula that we are going to use.

(Refer Slide Time: 04:22)

$$\text{Lemma 2: } p_n q_{n+1} - p_{n+1} q_n = (-1)^{n+1}.$$

$$\begin{aligned} \text{Proof: } \text{LHS} &= p_n q_{n+1} - p_{n+1} q_n \\ &= p_n (a_{n+1} q_n + q_{n-1}) - (a_{n+1} p_n + p_{n-1}) q_n \\ &= p_n q_{n-1} - p_{n-1} q_n = (-1)^1 (p_{n-1} q_n - p_n q_{n-1}) \\ &= (-1)^2 (p_{n-2} q_{n-1} - p_{n-1} q_{n-2}) \\ &\vdots \\ &= (-1)^n (p_0 q_1 - p_1 q_0) \end{aligned}$$

So, we look at the LHS which is  $p_n q_{n+1} - p_{n+1} q_n$  we write the  $n+1$ th in terms of  $n$  and  $n-1$ , so this is going to be  $a_{n+1} q_n + q_{n-1}$  this is the formula for  $q_{n+1}$  and then we write the formula for  $p_{n+1}$  then we observe that this term  $a_{n+1} q_n$  into  $p_n$  is cancelled with an  $a_{n+1} p_n$  into  $q_n$ .

So, we are left with  $p_n q_{n-1} - p_{n-1} q_n$  or if we put a negative sign to this then we get it to be we will have  $p_{n-1}$  outside with a positive sign  $q_n$  and then  $p_n q_{n-1}$  will have a negative sign. So, now this formula is similar to the formula that we have here except that  $n$  and  $n+1$  are replaced by  $n-1$  and  $n$ .

So, we continue this way. And we are going to get this to be  $(-1)^2$  and this  $n-1$  will be further become  $n-2$ . And continuing this way we will reach when we have  $(-1)^n$  power  $n$ , so this dots say that we are going to continue in this way, the subscript for  $p$  will be such that the subscript plus the power of  $(-1)^n$  their sum is always  $n$ . So, this is going to be  $(-1)^n (p_0 q_1 - p_1 q_0)$  this one will be one added to the subscript of  $p$  and then we have  $p_1 q_0$ , so  $p_0, q_0, p_1$  and  $q_1$  these are known to us and using that we should be able to compute this relation.

(Refer Slide Time: 07:13)

$$\text{Lemma 2: } p_n q_{n+1} - p_{n+1} q_n = (-1)^{n+1}.$$

$$\text{Proof (contd.): } LHS = (-1)^n (p_0 q_1 - q_0 p_1).$$

$$\text{Here } a_0 = p_0, q_0 = 1, \frac{p_1}{q_1} = a_0 + \frac{1}{a_1} = \frac{a_0 a_1 + 1}{a_1},$$

$$\text{Further, } (a_0 a_1 + 1, a_1) = (1, a_1) = 1.$$

$$\text{Hence } a_1 = q_1 \text{ and } p_1 = a_0 a_1 + 1.$$

$$LHS = (-1)^n (a_0 q_1 - q_0 p_1) = (-1)^{n+1} = RHS. \quad \square$$

We have that the LHS is minus 1 power n p0 q1 minus q0 p1 here a0 is p0 and q0 is 1 remember that the first convergent is the integral part of theta which is an integer and then the denominator has to be 1, we are always writing any rational number in the form p comma q where p is an integer it can be positive, negative or 0, q is always a rational number Q is always a natural number.

And moreover p and q have no common factor, we are writing the rational p by q in the lowest form that is what we have. So, q0 has to be taken to be 1 and then p1 upon q1 this is a0 plus 1 upon a1 which is a0 a1 plus 1 upon a1 and we observe that here a1 the denominator which is a1 and the numerator a0 a1 plus 1 are co-prime to each other.

The GCD of a0 a1 plus 1 and a1 this is really the gcd of 1 and a1 and therefore this is 1. Whenever we have two numbers a and b, if you add multiple of any of those two to the second one the GCD is not going to change. So, we have that a0 a1 plus 1 comma a1 is 1 comma a1 which is 1, a1 is positive now because remember a1 was obtained by taking the integral part of theta 1 which was bigger than 1, a1 onwards all the integers are positive, a0 can be positive negative or 0, but a1 onwards they are all positive.

So, a1 is q1 and p1 is a0, a1 plus 1. Now, we need to put the value here in this formula and obtain the answer, p0 q1, p0 is a0, q1 is a1, q0 which is 1 and then we simply subtract p1, p1 is this, these 2 get cancelled you are left with on minus 1 and so ultimately you get it to be minus 1

power  $n + 1$  which completes the proof, because we wanted to prove that this is  $p_n + p_n q_n + 1 - p_n + 1 - q_n$ , this is really  $-1 + p_n + 1$ .

So, if your  $n$  is odd then  $-1 + p_n + 1$  will be 1, if  $n$  is even then  $-1 + p_n + 1$  is going to be an odd is going to be  $-1$ . So, this is the proof of lemma 2 which was simply a computation using the recursion that we have already seen in lemma 1, so lemma 1 is really the basis of this proof and lemma 1 is going to be the most delicate thing to be proved. This is what we are now going to prove.

(Refer Slide Time: 11:12)

**Lemma 1:** The  $p_n$  and  $q_n$  satisfy the recursion

$$p_n = a_n p_{n-1} + p_{n-2}, \quad q_n = a_n q_{n-1} + q_{n-2}.$$

**Proof:** We have  $\frac{p_n}{q_n} = [a_0; a_1, \dots, a_n]$ .  
 We consider  $\frac{p'_j}{q'_j} = [a_1; a_2, \dots, a_{j+1}]$ .  
 These are the convergents for  $\theta_1$ .

So, we have  $p_n$  by  $q_n$  to be this particular continued fraction what we do is that we take the continued fraction from  $a_1$  onwards, so we consider  $p_j$  prime upon  $q_j$  prime to be the rational which is obtained by taking the continued fraction  $a_1, a_2$  so on up to  $a_{j+1}$ . Remember when you have  $n + 1$  terms we should have the subscript  $n$  here, so here we need  $j + 1$  terms to have the subscript  $j$ .

So, here these are the convergents for  $\theta_1$  and we are going to use induction I will leave the initial stage as an exercise to you, but we are going to prove we are going to assume the induction hypothesis which means that we are going to assume that whenever you have instead of  $n + 1$  whenever you have  $n$  terms or less number of terms, then the recursion holds. This is what we are going to assume and we proved the result that the recursion holds when there are  $N$

plus 1 terms. So, we are going to apply it to  $j$  plus 1 equal to  $n$  that is where we are going to apply.

(Refer Slide Time: 13:12)

**Lemma 1:**  $p_n = a_n p_{n-1} + p_{n-2}$ ,  $q_n = a_n q_{n-1} + q_{n-2}$ .

**Proof (contd.):** By induction hypothesis, we get

$$p'_j = a_{j+1} p'_{j-1} + p'_{j-2}, \quad q'_j = a_{j+1} q'_{j-1} + q'_{j-2} \quad \text{for } j=1, \dots, n-1.$$

Further,

$$\frac{p_j}{q_j} = a_0 + \frac{1}{\frac{p'_{j-1}}{q'_{j-1}}} = a_0 + \frac{q'_{j-1}}{p'_{j-1}}$$

$$= \frac{a_0 p'_{j-1} + q'_{j-1}}{p'_{j-1}} \quad \text{for any } j.$$

By induction hypothesis we get  $p_j$  prime equal to  $a_j p_{j-1}$  prime plus  $p_{j-2}$  prime and  $q_j$  prime equal to  $a_j q_{j-1}$  prime plus  $q_{j-2}$  prime here we should note that  $a_n$  was the last integer in the continued fraction expansion for  $j$  it is the  $j$  plus 1, so we should replace the  $a_j$  by  $a_{j+1}$  in both the expressions for  $p$  prime  $j$  and  $q$  prime  $j$ .

This we go have for  $j$  equal to 1 onwards up to  $n$  minus 1, because we are assuming the induction hypothesis, so we have it up to  $n$  minus 1. Further the continual fraction expansion the continued fraction for  $p_n$  upon  $q_n$  has  $a_0$  and then you have the remaining continued fraction expansion for starting with  $a_1$ .

So, further  $p_n$  upon  $q_n$  is  $a_0$  plus 1 upon  $p_{n-1}$  prime upon  $q_{n-1}$  prime, which is really  $a_0$  plus  $q$  prime  $n$  minus 1 upon  $p$  prime  $n$  minus 1 and we write this as  $a_0 p$  prime  $n$  minus 1 plus  $q$  prime  $n$  minus 1 upon  $p$  prime  $n$  minus 1, here there is nothing specific about  $n$  we could have replaced  $n$  by any  $j$  and the result would still be true.

In fact, we are going to require the result for a general  $j$  later this is true for any  $j$ . Now, we have this rational number  $p_j$  upon  $q_j$  where  $p_j$   $q_j$  had our that property that  $q_j$  is a natural number  $p_j$  can be any integer and most importantly the GCD of  $p_j$  and  $q_j$  is 1. We have returned the rational number in this form, do the denominator and numerator follow the same property?

So,  $p_{j+1} p_{j-1}$ , now this  $p_{j-1}$  is coming from the continued fraction expansion of some number which is positive, therefore when you write the convergent for the positive number  $p_{j-1}$  is also a positive number. So,  $p_{j-1}$  here is positive, let us note it on the next page.

(Refer Slide Time: 17:05)

$$\text{Lemma 1: } p_n = a_n p_{n-1} + p_{n-2}, \quad q_n = a_n q_{n-1} + q_{n-2}.$$

$$\text{Proof (contd.): } \frac{p_j}{q_j} = \frac{a_j p'_{j-1} + q'_{j-1}}{p'_{j-1}}, \text{ here } p'_{j-1} > 0 \text{ and}$$

$$(a_j p'_{j-1} + q'_{j-1}, p'_{j-1}) = (q'_{j-1}, p'_{j-1}) = 1. \text{ Then}$$

$$p_j = a_j p'_{j-1} + q'_{j-1} \text{ and } q_j = p'_{j-1}.$$

$$p_n = a_n p_{n-1} + p_{n-2}, \quad q_n = p_{n-1} = a_n p'_{n-2} + p'_{n-3} = a_n q_{n-1} + q_{n-2}$$

$$\text{Lemma 1: } p_n = a_n p_{n-1} + p_{n-2}, \quad q_n = a_n q_{n-1} + q_{n-2}.$$

Proof (contd.): By induction hypothesis, we get

$$p'_j = a_j p'_{j-1} + p'_{j-2}, \quad q'_j = a_j q'_{j-1} + q'_{j-2} \text{ for } j=1, \dots, n-1.$$

$$\text{Further, } \frac{p_j}{q_j} = a_j + \frac{1}{\frac{p'_{j-1}}{q'_{j-1}}} = a_j + \frac{q'_{j-1}}{p'_{j-1}} \\ = \frac{a_j p'_{j-1} + q'_{j-1}}{p'_{j-1}} \text{ for any } j.$$

Here  $p_{j-1}$  is positive therefore it is natural number and the gcd of  $p_{j-1} + q_{j-1}$  with  $p_{j-1}$  this is using the property of GCD once again is same as the GCD of  $p_{j-1}$  and  $q_{j-1}$ , because this is simply a multiple of  $p_{j-1}$ .



And this is 1 because  $p_{j-1} q_{j-1}$  have the property that their GCD is 1, so we have written this rational number in this form where the denominator is a natural number and the GCD of the denominator and numerator is 1 then we must have  $p_j$  equal to a natural number  $p_{j-1} + q_{j-1}$  and that  $q_j$  is  $p_{j-1}$ .

So, let us once again take a step back and see what we have obtained. We have obtained a formula for  $p_j$  and  $q_j$  in terms of  $p_{j-1}$  and  $q_{j-1}$  and we also know that these  $p_j$  and  $q_j$  satisfy the recursion relation, because we are now going to apply this for  $j$  equal to  $n$  and then we will see what happens.

So, we are now going to put the value for  $j$  equal to  $n$  in this formula. So, we get  $p_n$  is a natural number  $p_{n-1} + q_{n-1}$  and  $q_n$  is  $p_{n-1}$ . Let us, look at this  $q_n$  more carefully, this is going to be something which is very interesting. Once again note that  $p_j$  and  $q_j$  satisfy the recursion where the  $a$  comes with  $J + 1$ .

So, when I look at the  $p_{n-1}$  I will have that it comes with an  $a$  and we will have  $n - 2$  plus  $p_{n-3}$  but using this formula once again we see that this is nothing but an  $q_{n-1} + q_{n-2}$ . So, the formula for  $q_n$  the recursion relation for  $q_n$  has just come out very easily that is because for  $q_j$  we had a very simpler expression in terms of the  $p$  prime and  $q$  prime by induction assumption satisfies the recursion relation, which is here.

So, you have  $q_n$  which you write in terms of  $p$  prime which has this recursion relation and then you write these  $p$  primes back in terms of  $q_n$  and you have get our recursion relation for  $q_n$ . So, the only thing to be proved now is this formula and remember we will need to use this formula, so  $p_n$  is a natural number  $p_{n-1} + q_{n-1}$ . This is coming from the  $p_j$ 's in terms of  $p$  prime and  $q$  primes.

(Refer Slide Time: 21:05)

$$\text{Lemma 1: } p_n = a_n p_{n-1} + p_{n-2}, q_n = a_n q_{n-1} + q_{n-2}.$$

$$\begin{aligned} \text{Proof (contd.): } p_n &= a_n p'_{n-1} + q'_{n-1} \\ &= a_n (a_n p'_{n-2} + p'_{n-3}) + (a_n q'_{n-2} + q'_{n-3}) \\ &= a_n (a_n p'_{n-2} + q'_{n-2}) + (a_n p'_{n-3} + q'_{n-3}) \\ &= a_n p_{n-1} + p_{n-2}. \end{aligned}$$

$$p_n = a_n p_{n-1} + p_{n-2}.$$



$$\text{Lemma 1: } p_n = a_n p_{n-1} + p_{n-2}, q_n = a_n q_{n-1} + q_{n-2}.$$

$$\text{Proof (contd.): } \frac{p_j}{q_j} = \frac{a_j p'_{j-1} + q'_{j-1}}{p'_{j-1}}, \text{ here } p'_{j-1} > 0 \text{ and}$$

$$(a_j p'_{j-1} + q'_{j-1}, p'_{j-1}) = (q'_{j-1}, p'_{j-1}) = 1. \text{ Then}$$

$$p_j = a_j p'_{j-1} + q'_{j-1} \text{ and } q_j = p'_{j-1}.$$

$$p_n = a_n p'_{n-1} + q'_{n-1}, q_n = p'_{n-1} = a_n p'_{n-2} + p'_{n-3} = a_n q_{n-1} + q_{n-2}.$$



So, we get that  $p_n$  is a naught  $p_{n-1}$  prime plus  $q_{n-1}$  prime, but these primes satisfy the recursion, so let us write it down for each of the  $p$  prime and  $q$  prime, this is going to be an  $p_{n-2}$  prime plus  $p_{n-3}$  prime plus  $q_{n-2}$ , an  $q_{n-2}$  prime plus  $q_{n-3}$  prime I will take the multiples of an on one side that gives me  $a_0 p_{n-2}$  prime plus  $q_{n-2}$  prime and the remaining part which is a naught  $p_{n-3}$  prime plus  $q_{n-3}$  prime.

Now, using the recursion that we have obtained here for  $p$  in terms of  $q$  and putting so note that the  $j$ th  $p$  is obtained from  $j-1$   $p$  prime and  $q$  prime with the a naught multiplied to  $p$  prime.

So, a naught multiplied to  $p$  prime  $n$  minus 2 terms will give us  $p_n$  minus 1 and similarly here we are going to get  $p$  and minus 2, which is what we wanted to prove.

The recursion relation for  $p$ , this completes the proof. So, just to recall the all four Lemma's for you once again, Lemma 1 gave you a recursion relation for  $p_n$  and  $q_n$  in terms of the  $p_{n-1}$   $p_{n-2}$   $q_{n-1}$   $q_{n-2}$  and the integer  $a_n$ , using this we proved that essentially these  $p_n$   $q_n$   $p_{n+1}$   $q_{n+1}$  these are in some sense co-prime they if you write them in a 2 by 2 matrix  $p_n$   $q_n$   $p_{n+1}$   $q_{n+1}$  you are going to get an invertible matrix, because the determinant of this matrix is going to be plus or minus 1.

Invertible in the sense the inverse will also have integer entries that was the sense of Lemmas 2. Lemma 3 told you that  $\theta$  is sandwiched between any two successive convergence and Lemma four told you that  $q_n$  go to infinity. So, once you have that  $\theta$  is sandwiched between any two successive conversion you will look at the distance between any two successive convergents using Lemma 2 we see that the distance is less than or equal to  $1/q_n q_{n+1}$ , therefore  $\theta$  is at most  $1/q_n q_{n+1}$  from the  $n$ th convergent,  $p_n/q_n$ .

And as  $q_n$  goes to 0 infinity the one upon  $q_n q_{n+1}$  is going to go towards 0 and therefore  $p_n/q_n$  converge to the  $\theta$ . This is how we had the whole proof, but in this proof we have proofed this very important thing that  $\text{mod } \theta - p_n/q_n$  is less than or equal to  $1/q_n q_{n+1}$ , let us see what this gives us.

(Refer Slide Time: 24:52)

Note that the convergents  $p_n/q_n$  to  $\theta$  satisfy

$$\underbrace{|\theta - p/q| < 1/q^2.}$$
$$\left| \theta - \frac{p_n}{q_n} \right| \leq \frac{1}{q_n q_{n+1}}, \quad q_n < q_{n+1}$$
$$< \frac{1}{q_n^2}$$

It gives us that the convergents satisfy this particular property  $\theta - p/q$  is less than  $1/q^2$ . Let us, see a quick proof of this. We have already noticed that  $\theta - p_n/q_n$  is less than or equal to  $1/q_n q_{n+1}$ , but  $q_n$  is strictly less than  $q_{n+1}$  and therefore this quantity is less than one upon  $q_n$  square.

So, our convergents satisfy the property that they are good approximations to  $\theta$ . So, we have proved that if you take a real  $\theta$  you have a continued fraction expansion for this real  $\theta$ , you take the expansion cut it at  $n$ th stage we get rational numbers, we call them convergents, these convergents converge to  $\theta$ , they give you a sequence of rational numbers convergent to  $\theta$  but this result says that these convergents are good approximations to  $\theta$  in the sense that the distance from  $\theta$  to  $p/q$  is not more than  $1/q^2$ .

So, these are good approximations to  $\theta$ , we will in fact later see that these are the best approximation to  $\theta$ , we will have some small condition and say that if you have any rational satisfying such an inequality and I am saying such an inequality, so I am not saying the exactly this inequality we are going to add something more to the denominator here.

So, any rational satisfying such an inequality is in fact a convergent to  $\theta$ . So, we are what we have proved once again to recall is that convergence give you good approximations to  $\theta$  but we will prove that these are the best approximation to  $\theta$ . So, this will be proved in the later lectures, see you then, thank you very much.