

ALGEBRA I

1. LECTURE 81: DECIDING SIMILARITY

Given matrices $A, B \in M_n(K)$ over a field K , when are they similar?
 Recall that M_A, M_B are $K[t]$ modules by the action

$$\begin{aligned} p(t)_A \vec{v} &= p(A) \vec{v}, \\ p(t)_B \vec{v} &= p(B) \vec{v} \end{aligned}$$

Suppose $f : M_A \rightarrow M_B$ is a $K[t]$ -module isomorphism. Then

$$f(p(t)_A \vec{v}) = p(t)_B f(\vec{v}),$$

for all $p(t) \in K[t]$.

Let $X \in GL_n(K)$ be such that $f(\vec{v}) = X \vec{v}$. Then

$$Xp(A) \vec{v} = p(B)X \vec{v},$$

for all \vec{v} . Then

$$Xp(A)X^{-1} = p(B),$$

for all $p(t) \in K[t]$. In particular we have $XAX^{-1} = B$, i.e, $A \sim B$.
 Conversely, if $A \sim B$ then $\exists X \in GL_n(K)$ such that $XAX^{-1} = B$.

$$\implies Xp(A)X^{-1} = p(B),$$

for all $p(t) \in K[t]$.

So defining $f(\vec{v}) = X \vec{v}$ gives a $K[t]$ -module isomorphism.

Theorem 1.1. *Let $A, B \in M_n(K)$. Then $A \sim B$ iff $M_A \cong M_B$.*

Recall that

$$\begin{aligned} M_A &\cong K[t]^n / \mathcal{C}(tI - A), \\ M_B &\cong K[t]^n / \mathcal{C}(tI - B) \end{aligned}$$

Theorem 1.2. *$A \sim B \iff tI - A, tI - B$ have the same Smith Normal form.*

Example 1.3. *Let $A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ and $B = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ Then*

$$\begin{aligned} tI - A &= \begin{pmatrix} t-1 & 0 \\ 0 & t+1 \end{pmatrix} \\ &\approx \begin{pmatrix} 1 & 0 \\ 0 & t^2 - 1 \end{pmatrix}, \end{aligned}$$

since the first diagonal entry is the gcd of the 1×1 minors, the second diagonal entry is the gcd of the 2×2 minors, etc. Similarly

$$\begin{aligned} tI - B &= \begin{pmatrix} t & -1 \\ -1 & t \end{pmatrix} \\ &\approx \begin{pmatrix} 1 & 0 \\ 0 & t^2 - 1 \end{pmatrix}, \end{aligned}$$

Thus $A \sim B$.

Example 1.4. Let $A = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$, $B = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$ and $C = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$

Then

$$\begin{aligned} tI - A &= \begin{pmatrix} t & -1 & 0 \\ -1 & t & 0 \\ 0 & 0 & t - 1 \end{pmatrix} \\ &\approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & t - 1 & 0 \\ 0 & 0 & t^2 - 1 \end{pmatrix}, \end{aligned}$$

since the first diagonal entry is the gcd of the 1×1 minors, the second diagonal entry is the gcd of the 2×2 minors, and the third diagonal entry is the gcd of the 3×3 minors. Similarly

$$\begin{aligned} tI - B &= \begin{pmatrix} t & 0 & -1 \\ 0 & t - 1 & 0 \\ -1 & 0 & t \end{pmatrix} \\ &\approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & t - 1 & 0 \\ 0 & 0 & t^2 - 1 \end{pmatrix}, \end{aligned}$$

$$\begin{aligned} tI - C &= \begin{pmatrix} t & 0 & -1 \\ -1 & t & 0 \\ 0 & -1 & t \end{pmatrix} \\ &\approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & t^3 - 1 \end{pmatrix}, \end{aligned}$$

Thus $A \sim B \not\sim C$.